### STATE BOARD OF ELECTIONS

### STATE OF ILLINOIS

2329 S. MacArthur Blvd. Springfield, Illinois 62704-4503 217/782-4141

Fax: 217/782-5959

James R. Thompson Center 100 W. Randolph St., Ste. 14-100 Chicago, Illinois 60601-3232 312/814-6440

Fax: 312/814-6485



EXECUTIVE DIRECTOR Steven S. Sandvoss

BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

AGENDA STATE BOARD OF ELECTIONS BOARD MEETING Monday, May 16, 2016 10:30 a.m.

2329 S. MacArthur Blvd.
Springfield, Illinois
and via videoconference
James R. Thompson Center – Suite 14-100
Chicago, Illinois

#### Roll call.

- 1. Approval of the minutes from the April 15 meeting. (pgs.1-4)
- 2. Report of the General Counsel
  - a. Campaign Disclosure:

### Motion for reconsideration

- 1) SBE v. Citizens for Esther Golar, 19423, 16DQ036; (pgs.5-7)
- 2) SBE v. Friends to Elect Mary McClellan, <u>23944</u>, 16DQ059; (pgs.8-12)

### Appeals of campaign disclosure fines – hearing officer recommendation appeals be granted

- 3) SBE v. Edwardsville Twp. Republican Committeemen, 5787, 16DQ013; (pgs.13-15)
- 4) SBE v. Committee to Elect Judge Aleksandra Alex Gillespie, 31654, 16AD014; (pgs.16-19)

### Appeals of campaign disclosure fines – hearing officer recommendation appeals be denied

- 5) SBE v. McLean County Republican Central Committee, 276, 16AD002; (pgs.20-23)
- 6) SBE v. Petroleum Political Education Committee of IL, 308, 15MA106; (pgs.24-28)
- 7) SBE v. Rochester Education Assn. PAC, <u>14057</u>, 16DQ021; (pgs.29-31)
- 8) SBE v. Friends of Camille Y. Lilly, <u>22767</u>, 15AD110; (pgs.32-34)
- 9) SBE v. Democratic Women of the South Suburbs, 23654, 16AD009; (pgs.35-39)
- 10) SBE v. Citizens to Elect Mark V. Ferrante, 24041, 16DQ061; (pgs.40-42)
- 11) SBE v. Diogenes of Illinois PAC, 24416, 16DQ063; (pgs.43-48)
- 12) SBE v. Friends of Paul Mulcahy, 24669, 15DQ093; (pgs.49-51)
- 13) SBE v. Illinois Concrete PAC, 25966, 16DQ083; (pgs.52-54)
- 14) SBE v. Friends of Robert Eastern III, <u>27399</u>, 15SQ178; (pgs.55-57)
- 15) SBE v. Citizens for Accountability, 29571, 15AS038; (pgs.58-62)

### Complaints following public hearing – hearing officer recommendation complaints be dismissed and referred to staff for assessment of civil penalties

16) SBE v. Keep Burr Ridge Special, 16CD086; (pgs.63-65)

Complaints following public hearing – hearing officer recommendation complaints be upheld and issue a Board order requiring the delinquent report(s) be filed within 30 days of the order or face a fine up to \$5,000

- 17) SBE v. Nurses United for PAC, 16CD001; (pgs.66-68)
- 18) SBE v. Citizens for Mike Smith, 16CD004; (pgs.69-71)
- 19) SBE v. Citizens for Colvin, 16CD010; (pgs.72-74)
- 20) SBE v. Citizens to Elect Alvin Parks, Jr., 16CD017; (pgs.75-77)
- 21) SBE v. Citizens for Tyler Smith, 16CD021; (pgs.78-80)
- 22) SBE v. Friends of Frank McPartlin, 16CD024; (pgs.81-83)
- 23) SBE v. Friends of David Moore, 16CD035; (pgs.84-86)
- 24) SBE v. Friends for Strzelczyk, 16CD036; (pgs.87-89)
- 25) SBE v. United Party, 16CD038; (pgs.90-92)
- 26) SBE v. Citizens to Elect Frazier Garner, 16CD049; (pgs.93-95)
- 27) SBE v. Friends of Amara Enyia, 16CD053; (pgs.96-98)
- 28) SBE v. Nonprofit VOTE IL, 16CD065; (pgs.99-101)
- 29) SBE v. Land of Reagan, 16CD070; (pgs.102-104)
- 30) SBE v. Citizens to Elect LaCoulton J. Walls, 16CD073; (pgs.105-107)
- 31) SBE v. Citizens for Anthony L. McCaskill, 16CD074; (pgs.108-110)
- 32) SBE v. Stars Committee, 16CD076; (pgs.111-113)
- 33) SBE v. Friends of Darren Tillis, 16CD081; (pgs.114-16)

### Other campaign disclosure items

- 34) Consideration of settlement offer form; (pgs.117-120)
- 35) Random audits of political committees; (pg.121)
- 36) Payment of civil penalties informational; (pg.122)

### Complaints following closed preliminary hearing - separate packet

- 37) Cooke v. Committee for Frank J. Mautino, 16CD093; (pgs.1-50)
- 38) Eck v. Reick, 16CD097; (pgs.51-64)
- 39) Garrett v. Lake County GOP Voters and Ann Brennan, 16CD104; (pgs.65-76)
- 40) Trzaska v. Liberty Principles PAC, 16CD105. (pgs.77-91)
- 3. Report of the Executive Director
  - a. Report on Advisory Committee Meeting; (pgs.123-124)
  - b. Review of 2016 General Primary Election in DuPage County; (pgs.125-127)
  - c. Update on Constitutional Amendment Petition processing; (oral report)
  - d. Legislative update; (oral report)
  - e. Senate Bill 172 update; (pg.128)
  - f. Six month review of executive session minutes; (pg.129)
  - g. Two year plan of staff activity for the months of May & June informational. (pgs.130-132)
- 4. Follow up. (pg.133)
- 5. Comments from the general public. (pg.133)
- 6. Next Board Meeting scheduled for Monday, June 13, 2016 at 10:30 a.m. in Chicago. (pg.133)
- 7. Executive Session. (pgs.134-143)

# STATE BOARD OF ELECTIONS Regular Meeting Friday, April 15, 2016

#### **MINUTES**

PRESENT: Charles W. Scholz, Chairman

Ernest L. Gowen, Vice Chairman William J. Cadigan, Member Andrew K. Carruthers, Member

John R. Keith, Member

William M. McGuffage, Member

Casandra B. Watson, Member (via telephone)

ABSENT: Betty J. Coffrin, Member

ALSO PRESENT: Steven S. Sandvoss, Executive Director

James Tenuto, Assistant Executive Director

Kenneth R. Menzel, General Counsel Darlene Gervase, Admin. Assistant III

The Chairman called the meeting to order at 10:30 a.m. and led everyone in the pledge of allegiance.

Six Board Members were present in Chicago; Member Watson was present via telephonic conference call. Member Coffrin was absent and Vice Chairman Gowen held her proxy.

Chairman Scholz asked for a motion to adopt the minutes of the March 14, 2016 meeting. Member Keith so moved and Member McGuffage seconded the motion to adopt the minutes. The Motion passed unanimously by a voice vote.

Executive Director Sandvoss presented the proclamation of results from the March 15, 2016 General Primary Election. Member Cadigan moved to accept the proclamation and Vice Chairman Gowen seconded the motion which passed unanimously.

Mr. Menzel began his report with Appeals of campaign disclosure fines where the Hearing Officer recommended the appeals be granted and he concurred. Vice Chairman Gowen moved to adopt the Hearing Officer and General Counsel's recommendations and grant the appeals. Member McGuffage seconded the motion which passed unanimously by roll call vote. Those matters are: 3.a.1) SBE v. Bremen Township Regular Democratic Organization, 509, 15SQ099; 2) SBE v. Republican Club of Evanston, 19452, 16DQ037; 3) SBE v. Friends for Senor, 28438, 16AD012; and 4) SBE v. Citizens to Elect Judge Loftus, 31655, 15AS042.

Items 3.a.5) SBE v. Petroleum Political Education Committee of IL, 308, 15MA106; 11) SBE v. Friends of Camille Y Lilly, 22767, 15AD110; and 12) SBE v. Friends of Paul Mulcahy, 24669, 15DQ093 were continued to the May meeting.

Chairman Scholz accepted the appearance of Dana Cushingberry, attorney for the Respondent, in 3.a.9) SBE v. Citizens for David Webb, 15753, 15AS024. The General Counsel summarized the complaint and agreed with the Hearing Officer to recommend the appeal be denied. Ms. Cushingberry indicated that she had problems with the IDIS program. She had taken the IDIS training, but was still unable to file the documents. Tom Newman, Director of Campaign Finance and Disclosure was asked if the committee had used the 1-time electronic defense; he indicated he would need a few minutes to answer.

The Chairman called 3.a.10) *SBE v. IVCA-PAC*, <u>17057</u>, 15AS027 and accepted the appearance of Mark McDonnell for the Respondent. Mr. McDonnell explained that the contribution in question was made by wire transfer to the bank and they did not have notice of the contribution or the contributor's information until receipt of their monthly activities from the bank. Member Keith moved to adopt the Hearing Officer's and General Counsel's recommendations and deny the appeal. Member McGuffage seconded the motion which passed 6-2 with Member Coffrin and Vice Chairman Gowen voting No.

The Board returned to 3.a.9. The Committee had not used the one-time defense. Member McGuffage moved to allow the electronic filing defense and reduce the penalty to \$6,150 for the prior violation and Member Watson seconded. The motion passed 7-1; Member Keith voted in the negative.

As to the remaining Items 6) SBE v. Edwards County Republican Central Committee, 1148, 15SQ013; 7) SBE v. Northern IL Alliance of Fire Protection Districts PAC, 10576, 16DQ017; 8) SBE v. Nameoki Township Precinct Committeemen, 14620, 16DQ024; 13) SBE v. YES for District 112 Referendum, 27270, 15AM096;14) SBE v. Friends of Charles "Chuck" Givines, 27330, 15MQ202; and 15)SBE v. Friends of Bill Sullivan, 31671, 15SQ161, wherein the Hearing Officer recommended the appeals be denied, the General Counsel concurred. Member Carruthers so moved and Member Cadigan seconded the Motion to deny the appeals. The Motion passed unanimously.

Attorney Josiah Groff and Complainant Corey Johnson appeared in 3.a.16) *Johnson v. Kane County Conservative Coalition*, 15CD102. The General Counsel concurred with the Hearing Officer's recommendation to require Respondent to amend the 1<sup>st</sup> quarter reports of 2014 and further recommended the committee be ordered to make correct attributions of the payer as to future mailings and include the required Section 9-9 language with all future solicitations of funds or be fined \$5,000. Member Keith moved to adopt the Hearing Officer's report as amended by Mr. Menzel. Vice Chairman Gowen seconded the motion which passed 8-0.

Director of Campaign Finance and Disclosure, Tom Newman, submitted revised Settlement Offer Guidelines that address several concerns, including exceptions for small committees with low average fund balances and situations where a candidate/committee has access to funds from multiple committees under their control. The Board approved and Member Keith moved to adopt the suggestions. Member McGuffage seconded the motion which passed unanimously.

Mr. Newman asked for a Board Order of 2% random audits of active political committees annually. He added that 2% is a significant, yet manageable number. The random selection will be conducted May  $2^{nd}$ . Member Keith moved to adopt the 2% number random selection and Vice Chairman Gowen seconded. The motion passed 8-0.

The Board considered Assessments and Board Orders contained on pages 91-95 in the Board's packet. Civil penalties for delinquent filing of the June, September and December, 2015 Quarterly reports, D-1 Statements of Organization, Schedule A-1s and "multi-assessments" were not appealed and should be issued a final order. Member Gowen so moved and Member Cadigan seconded the motion. The Motion passed 7-0-1 with Member Carruthers abstaining.

Item 3.a.19) Payment of civil penalties on pages 96-97 of the Board's packet were submitted for informational purposes.

A Motion to Reconsider was filed in 20) *Kaye & Cabay v. Liberty Principles PAC*, 16CD096 & 16CD098, a matter heard at the March 15<sup>th</sup> meeting. Andrew Finko, attorney for Complainants was present in the Chicago office. Claire Kaye was present via videoconference in the Board's Springfield office. Mr. Finko presented his argument, but as Mr. Finko was the attorney of record, Ms. Kaye was confined to making a statement in Item 6, Comments from the general public. Member Keith moved to Deny the Motion to Reconsider and an Order be written so Mr. Finko could timely seek judicial review. Member Carruthers seconded the Motion which passed 7-0-1 with Member Coffrin abstaining.

Member Keith moved to recess to Executive Session to hear Complaints following closed preliminary hearing, personnel issues and litigation. The Board recessed at 11:30 a.m.

The Board returned to open session at 11:55 a.m. with Six Board Members present in Chicago; Member Watson present via telephonic conference call; and Member Coffrin absent and Vice Chairman Gowen held her proxy.

As to 3.a.21 *Schenk v. Cowlin*, 16CD102, Member Keith moved adopt the General Counsel's Recommendation to dismiss the matter for Want of Prosecution. Member Cadigan seconded the motion which passed unanimously by roll call vote.

As to Items 22) *SBE v. We the People,* 16CD031; 23) *SBE v. Friends of David Moore,* 16CD035; and 24) *SBE v. Friends of Casey Johnson,* 16CD039, Member Keith moved to adopt the Hearing Officer's and General Counsel's recommendations that these matters were found to have been filed on justifiable cause and to proceed to a public hearing. Member Cadigan seconded the matter which passed unanimously.

As to 25) SBE v. Citizens for Mark Calonder, 16CD068, Member Keith moved to adopt the Hearing Officer's and General Counsel's recommendations to uphold the complaint, but no further action required beyond the imposition of a civil penalty. Vice Chairman Gowen seconded the motion which passed unanimously.

Executive Director, Steve Sandvoss, began his report with a summary of the General Primary Election report of Brent Davis, Director of Election Operations:

- 1) Our offices received a total of 2,848 calls distributed among 26 staff members throughout the day and at least 1,100 calls relating to polling places and grace period registration. The subject of Grace Period Registration and Voting as well as the large number of same day registrations caused problems with managing other issues for the jurisdictions.
- 2) There were ballot shortages in five counties Adams, Sangamon, Kendall, Madison, Effingham, and the City of Danville, due mostly to the higher than anticipated turnout and same day registration. Cook County obtained a court order to extend the polling hours for four precincts that didn't open on time. McHenry had technical issues with their new electronic poll books and extended their voting hours in all precincts.
- 3) Our offices received numerous calls on Election Day reporting President Bill Clinton was campaigning in Chicago and Springfield and violating the campaign free zone rules. This was found not to be the case. There was a Facebook post regarding an article about him campaigning in Springfield, but it turned out to be Springfield Missouri, not Illinois.
- 4) Miscellaneous calls included: Voters who live in the suburbs, work in the city, and want to vote a suburban ballot in the city; Not enough "I Voted" stickers; Election judges continue to give Federal ballots to people who should receive a full ballot; and Voters who did not want to declare a party vote were given explanations as to theory and state law.

Because this election had the highest volume of calls, Brent and Deputy Director, Amy Kelly will look at adding more staff members to the call center and have a pre-recorded message providing commonly sought information.

Mr. Menzel added that in McHenry County, a defective file on memory sticks resulted in problems using the electronic poll books. Changes should include testing each pollbook prior to the election and election judge training should include additional emphasis utilizing printed voter lists to avoid problems when the electronic pollbooks malfunction. The other issue was communication problems between the election judges and the county clerk's office and the general public calling the

SBE Minutes April 15, 2016 - Page 4

clerk's office being directed to the office closed after hours message. Better staffing will be needed to handle the phones in future elections.

Kyle Thomas, Director of Voting and Registration Systems spoke to the late precinct reporting. DuPage County and the City of Chicago had returns reported after 2:00 a.m. McHenry County and the City of East St. Louis were still counting after 2:00 a.m. as well. His full report was contained on pages 99-102 of the Board's packet.

A report of Election Judge training schools was presented for informational purposes.

Mr. Sandvoss presented a draft of proposed Rules of Procedures for the Constitutional Amendment Petition Filing expected in early May. Discussion was had among the Board. Procedures were discussed and the Board was invited to the Springfield office to become acquainted with the filing, scanning, data entry and signature verification process.

Cris Cray, Legislative Liaison presented her legislative update. She said the 4 chiefs and 4 legal counsels of the legislature received the proposed Rules of Procedure noted above. She also stated that we will receive a 500 page Elections Omnibus bill shortly, containing mostly technical cleanup language. Kyle Thomas and Kevin Turner, presented their update on SB 172 and added that the Secretary of State submitted their files and we will, in turn, provide it to ERIC. Mr. Turner also indicated that some vendors have been paid through the Vendor Assistance Program of which Jeremy Kirk provided much assistance. Mr. Turner then added that he was able to secure 8 scanners from a company who will accept payment when a budget is approved. This will increase the number of scanners to 15 in time for the filing of the Constitutional Amendment Petition.

Mr. Sandvoss asked the board to review the updated FY16/17 Board Meeting Schedule as submitted under separate cover. He added that meetings may have to be moved to hear objections brought before the State Officers Electoral Board. The Board decided to meet on July 11<sup>th</sup>. The June dates will be confirmed at the May 16th meeting. Member Keith spoke to the statutory deadline to proclaim the results of the November General Election of December 5, 2016. As judges will be sworn in on that date, he suggested starting the meeting at 9:00 a.m. and scheduling the swearing in of judges begin at 9:01 a.m. This suggestion will be shared with the Court's Administrative Office and revisited at a later meeting if necessary.

The two year plan of staff activity for the months of April & May for informational purposes.

Claire Kaye, one of the complainants in *Kay and Cabay v. Liberty Principles PAC*, 16 CD 096 and 16 CD 098 commented on the Complaint she filed.

The next Board Meeting is scheduled for Monday, May 16, 2016 at 10:30 a.m. in Springfield.

Respectfully submitted,

Darlene Gervase, Admin, Assistant III

Steven S. Sandvoss, Executive Director

### CHICAGO

# 16 APR 22 PM 2: C4 STATE BOARD OF ELECTIONS

State Board of Elections
State of Illinois
Charles W. Scholz, Chairman
100 W. Randolph Suite 14-100
Chicago, IL 60601

Dear Mr. Scholz:

I Sabrina Oliphant would like to motion the appeal for the Final Board Order from March 1- April 1 to be reconsidered.

The reason I failed to submit the documents in a timely manner of the 30 day grace period given are; my mom was very ill, I had to take off work and assist my mom with her wounds and medications. She was placed on hospice as of December 2015. She later expired January 2016. I was experiencing anxiety and depression.

Unfortunately, while at the hospital with my mom after she passed, my partner (Officer Clark) was there with me at the hospital. While at the hospital she received news that her husband was killed in a car accident.

If at all possible I would hope you would take in consideration these unfortunate circumstances of which occurred during the time frame.

Sabrina Oliphant

19423

Sincerely

CITIZENS FOR ESTHER GOLAR

STATE OF ILLINOIS	) (0
COUNTY OF COOK	) SS )
	STATE BOARD OF ELECTIONS STATE OF ILLINOIS
In the Matter Of:	)
State Board of Elections Complainant(s),	) )
Vs.	) 16 DQ 036
Citizens for Esther Golar	)
Respondent(s).	)
	FINAL ORDER
TO: Citizens for Esther Go 10025 S St. Lawrence Chicago, IL 60628	

This matter coming to be heard this 15<sup>th</sup> day of April, 2016 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

### THE BOARD FINDS

- 1. On March 1, 2016, in case number 16 DQ 036, a \$300.00 civil penalty was assessed against the Respondent for the delinquent filing of the December 2015 Quarterly report; and
- 2. An appeal of the civil penalty was not submitted by the committee; and
- 3. Previously, on July 22, 2014, the committee was assessed a penalty of \$600.00, which was stayed as a first violation, for failing to file a noted Schedule A-1 during the fourth quarter of 2013 (14 AD 059). This assessment was not appealed and was reduced to \$60.00 by the Board.

#### IT IS ORDERED:

- 1. A civil penalty in the amount of \$300.00 is hereby imposed and the stay is lifted on the previously assessed penalty of \$60.00. The total amount of \$360.00 is now due and owing, to be paid within 30 days of the effective date of this Order; and
- 2. The effective date of this Order is April 18, 2016; and
- 3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 4/18/2016

Charles W. Scholz, Chairman

2329 S MacArthur Blvd. Springfield, Illinois 62704 217/782-4141

Fax: 217/782-5959

James R. Thompson Center 100 West Randolph, Suite 14-100 Chicago Illinois 60601 312/814-6440

Fax: 312/814-6485

STATE OF ILLINOIS



**BOARD MEMBERS** Charles W. Scholz, Chairman Ernest L. Gowen, Vice Chairman William J. Cadigan Andrew K. Carruthers Betty J. Coffrin John R. Keith William M. McGuffage Casandra B. Watson

**EXECUTIVE DIRECTOR** Steven S. Sandvoss March 1, 2016 ID# 19423

Citizens for Esther Golar Sabrina Oliphant 10025 S St Lawrence Ave Chicago, IL 60628

7015 0640 0002 4567 1723

Dear Citizens for Esther Golar:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:

December Quarterly Report of Campaign Contribution and Expenditures

Report Period:

October 1, 2015 through December 31, 2015

Filing Period:

January 4, 2016 through January 15, 2016

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 1/26/2016, 6 day(s) late. As such, this committee has been assessed a fine of \$300.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 1, 2016 you forfeit the right to contest this assessment.

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

	Reporting Period	Report Type	Previous Fine Amount
	2013 December 4/22/14	A1 /4 AD 059	\$60.00
•	TOTAL AMO	\$360.00	

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director,

Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

### STATE BOARD OF ELECTIONS

### STATE BOARD OF ELECTIONS

STATE OF ILLINOIS

2016 APR 26 AM 8: 35

In the Matter Of:	)		
	)		
State Board of Elections	)	16 DQ 059	
Complainant(s),	)		
Vs.	)		
Friends to Elect Mary McClellan	)		
Respondent(s).	)		

### MOTION TO RECONSIDER FINAL ORDER 16 DQ 059 DATED 4-18-2016

NOW COMES your Respondent, MARY MCCLELLAN, and moves this Honorable Court to reconsider it's Order of April 18, 2016 entering civil penalty in the amount of \$525.00 and in support thereof, states the following:

- 1. That this Motion is brought within time frame identified in the Election Code and brought pursuant to 10 ILCS 5/9-22.
- 2. An appeal was not submitted as I did not receive the notice from the State Board of Elections. The notice was sent via certified registered mail. I signed the delivery slip and left for the postmaster. However, it was not delivered to me. I contacted the State Board of Elections and the due date had just pasted. I am the County Clerk in McHenry County and was in the middle of an election during this same time period. The lack of appeal was not due to negligence or disregard for the State Board of Elections.
- 3. The delinquent filing of the December 2015 Quartlery report was to do in ability to obtain access to the online web portal. I had traveled to take care of my mother who was is need of emergency assistance. When I tried to sign into the system on the last day to file, I was unable to sign in. However the State Board was closed by the time I realized I could not gain

access. I contacted the State Board of Elections and my password was reset. I subsequently filed my December Quarterly.

4. I would ask the State Board of Elections to reconsider this penalty as this was not intentional nor malicious.

Respectfully submitted.

WHEREFORE Respondent, MARY MCCLELLAN, moves this Honorable Court to reconsider it's previous rulings and vacate the Judgment previously entered against her in this cause.

Mary E. McClelles

Mary E. McClellan

Friends to Elect Mary McClellan

ID # 23944

•	FINAL ORDER
Respondent(s).	)
Friends to Elect Mary McClella	an )
1	)
Vs.	) 16 DQ 059
Complainant(s),	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \
State Board of Elections	)
In the Matter Of:	)
	STATE OF ILLINOIS
	STATE BOARD OF ELECTIONS
COUNTY OF COOK	)
	) SS
STATE OF ILLINOIS	)

TO: Friends to Elect Mary McClellan 3014 S Bergman Dr Holiday Hills, IL 60051-8116

ID# 23944

This matter coming to be heard this 15<sup>th</sup> day of April, 2016 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 et. seq.), and the State Board of Elections being fully advised in the premises,

### THE BOARD FINDS

- 1. On March 1, 2016, in case number 16 DQ 059, a \$500.00 civil penalty was assessed against the Respondent for the delinquent filing of the December 2015 Quarterly report; and
- 2. An appeal of the civil penalty was not submitted by the committee; and
- 3. Previously, on June 8, 2015, the committee was assessed a penalty of \$25.00, which was stayed as a first violation, for the delinquent filing of the March 2015 Quarterly report (15 MQ 103). This assessment was not appealed.

### IT IS ORDERED:

- 1. A civil penalty in the amount of \$500.00 is hereby imposed and the stay is lifted on the previously assessed penalty of \$25.00. The total amount of \$525.00 is now due and owing, to be paid within 30 days of the effective date of this Order; and
- 2. The effective date of this Order is April 18, 2016; and
- 3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 4/18/2016

Charles W. Scholz, Chairman

51A1	E OF ILLINOIS	) \	
COU	NTY OF COOK	) SS )	
			OARD OF ELECTIONS TE OF ILLINOIS
In the	Matter Of:	)	
State	Board of Elections Complainant(s),	)	
Vs.		)	16 DQ 059
Friend	ds to Elect Mary McClell Respondent(s).	an )	
			FINAL ORDER
TO:	Friends to Elect Mary N 3014 S Bergman Dr	McClellan	ID# 23944

This matter coming to be heard this 15<sup>th</sup> day of April, 2016 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

#### THE BOARD FINDS

Holiday Hills, IL 60051-8116

- 1. On March 1, 2016, in case number 16 DQ 059, a \$500.00 civil penalty was assessed against the Respondent for the delinquent filing of the December 2015 Quarterly report; and
- 2. An appeal of the civil penalty was not submitted by the committee; and
- 3. Previously, on June 8, 2015, the committee was assessed a penalty of \$25.00, which was stayed as a first violation, for the delinquent filing of the March 2015 Quarterly report (15 MQ 103). This assessment was not appealed.

#### IT IS ORDERED:

- 1. A civil penalty in the amount of \$500.00 is hereby imposed and the stay is lifted on the previously assessed penalty of \$25.00. The total amount of \$525.00 is now due and owing, to be paid within 30 days of the effective date of this Order; and
- 2. The effective date of this Order is April 18, 2016; and
- 3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 4/18/2016

Charles W. Scholz, Chairman

2329 S MacArthur Blvd. Springfield, Illinois 62704 217/782-4141

Fax: 217/782-5959

James R. Thompson Center 100 West Randolph, Suite 14-100 Chicago Illinois 60601

312/814-6440 Fax: 312/814-6485

STATE OF ILLINOIS

**BOARD MEMBERS** Charles W. Scholz, Chairman Ernest L. Gowen, Vice Chairman William J. Cadigan Andrew K. Carruthers Betty J. Coffrin John R. Keith William M. McGuffage Casandra B. Watson

**EXECUTIVE DIRECTOR** Steven S. Sandvoss March 1, 2016 ID# 23944

Friends to Elect Mary McClellan Mary McClellan 3014 S Bergman Dr Holiday Hills, IL 60051-8116

7015 0640 0002 4567 1822

Dear Friends to Elect Mary McClellan:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:

December Quarterly Report of Campaign Contribution and Expenditures

Report Period:

October 1, 2015 through December 31, 2015

Filing Period:

January 4, 2016 through January 15, 2016

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 2/1/2016, 10 day(s) late. As such, this committee has been assessed a fine of \$500.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 1, 2016 you forfeit the right to contest this assessment.

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
2015 March $(0^{-8}-15)$	Quarterly 15 ma 103	\$25.00
TOTAL AMO	\$525.00	

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely.

Tom Newman, Director, Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

### STATE OF ILLINOIS COUNTY OF SANGAMON

## BEFORE THE STATE BOARD OF ELECTIONS STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

16 DO 013

Edwardsville Twp Republican Committeemen

ID# 5787

Respondent

### REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing the December 2015 Quarterly Report

The Report was received by the Board on January 21, 2016, 3 days late, resulting in a civil penalty assessment of \$150. Additionally, the Committee had previously been assessed a \$200 civil penalty (not appealed, stayed) for delinquently filing the June 2015 Report. The total assessment is \$350.

Mark D. Rabe, the Chairmen of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Rabe states that the Committee made several attempts to file the Quarterly report but encountered problems with IDIS3. He says the program did not allow the Committee to file its Report on time because the FILE REPORT button would not activate. Over the next few days, he says the Committee tried using various combinations of operating software and browsers but were unsuccessful and then became locked out of their account. After contacting the Board to unlock their account, the Quarterly report was filed when Mr. Rabe tried again on a different computer through a different router.

In order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously utilized such a defense, I recommend the appeal be granted. If this recommendation is accepted, the stay would be returned to the previous \$200 assessment.

(As of 3/31/16, this Committee reported a funds available balance of \$1,489.23.)

Kim Patrick – Hearing Officer

April 29, 2016

STATE BOARD OF ELECTIONS State of Illinois 2016 APR -1 PM 2: 04 County of Madison BEFORE THE STATE BOARD OF ELECTIONS OF THE STATE OF ILLINOIS IN THE MATTER OF; ILLINOIS STATE BOARD OF ELECTIONS. Complainant Case No. 16 50012 Vs. Edw Twp Rep Com, 5787 Respondent(s). APPEAL AFFIDAVIT of the (Chairman/Treasurer) Edwardsvillee Twp Republican Committemen, ID# 5787 (Name of the Committee) Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are: I attempted to file the Oct 1, 2015 - Dec 31, 2015 Quarterly Report on January 15, 2016, within the deadline. This was the first time I had used the IDIS v3.0 filing software, and was unable to get the [FILE REPORT] button to activate. I was therefore unable to file before the deadline despite repeated attempts. Over the next days, I and several others attempted to file using various combinations of operating software (Windows XP, Windows 10, Apple iOS) and browsers (Explorer, Chrome, Safari and Firefox). Not only were we unsuccessful, but we inadvertently locked ourselves out of the IDIS v 3.0 software. On January 20, I contacted Jason Heinz, of the State Board's computing help desk. While he was able to unlock access to the software, he was not able to provide any assistance as to why the [FILE REPORT] button would not activate. The next day I tried again on a different computer operating through a different router. This time I was able to file. In mitigation, the filed Report was identical to the previously filed Report, with no income or expenses. Thus, no member of the public was deprived of any information during the period while the Report was delinquent. Signed and Sworn to by:

ROSE M. BECKHAM
Notary Public-Notary Seal
State of Missouri, St Charles County
Commission # 12485517
My Commission Expires Apr 10, 2016

before me this 315 Day of

, 2012

(Signature of Chairman/Treasurer)

2329 S MacArthur Blvd. Springfield, Illinois 62704 217/782-4141

Fax: 217/782-5959

James R. Thompson Center 100 West Randolph, Suite 14-100 Chicago Illinois 60601 312/814-6440

Fax: 312/814-6485

STATE OF ILLINOIS



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR Steven S. Sandvoss

March 1, 2016 ID# 5787

Edwardsville Twp Republican Committeeman

PO Box 803

Edwardsville, IL 62025

7015 0640 0002 4567 1594

Dear Edwardsville Twp Republican Committeeman:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:

December Quarterly Report of Campaign Contribution and Expenditures

Report Period:

October 1, 2015 through December 31, 2015

Filing Period:

January 4, 2016 through January 15, 2016

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 1/21/2016, 3 day(s) late. As such, this committee has been assessed a fine of \$150.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 1, 2016 you forfeit the right to contest this assessment.

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Perio	d Repor	t Type	Previous Fine Amount
2015 June	Quarterly		\$200.00
TOTAL AMOUNT NOW DUE			\$350.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director,

Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

# STATE OF ILLINOIS COUNTY OF SANGAMON

# BEFORE THE STATE BOARD OF ELECTIONS STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

16 AD 014

Committee to Elect Judge Aleksandra Alex Gillespie Cmte ID: 31654 Respondent

#### REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment For Delinquently Filing Two (2) Schedule A-1 Reports

One A-1 was filed in connection with a \$2,900 donation from Matthew Schmeltz, dated October 22<sup>nd</sup>, 2015. The A-1 was filed on November 1<sup>st</sup>, 2015, 2 days late, resulting in a fine of \$1,450. A second A-1 was filed by the Committee with respect to a donation of \$3,705 from Frank DiFranco, dated November 3<sup>rd</sup>, 2015. It was filed on November 11<sup>th</sup>, 2015, 1 day late, resulting in a fine of \$1,853. The total fine amount is \$3,303. This is the Committee's first delinquent filing. Accordingly, the civil penalty is automatically reduced to \$330 (10% of the above-referenced fine amount) as a first A-1 offense.

Margaret Kerins, the Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter. On the affidavit, she stated she mistakenly attached dates to the receipts that represented the dates of Committee fundraisers, rather than the actual dates of receipt. In a follow-up phone conversation, Ms. Kerins confirmed the donations in questions were deposited in the Committee's account on November 1<sup>st</sup>, 2015, with respect to the donation from Mr. Schmeltz and November 11<sup>th</sup>, 2015, with respect to the donation from Mr. DiFranco.

I recommend the appeal be granted. 10 ILCS 5/9-10 d) reads, in part, "For the purpose of this Section, a contribution is considered received on the date a monetary contribution was deposited in a bank, financial institution, or other repository of funds for the Committee." Accordingly, no actual violation appears to have occurred here. Ms. Kerins stated the Committee will properly report all such donations in the future on both A-1 Schedules and Quarterly Reports. As of March 31st, 2016, the Committee's reported balance was \$37,999.50.

John Levin – Hearing Officer April 29th, 2016

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Complainant	16ADO14 Case No. 10# 31654
Committee to Elect Aleksandra "Respondent(s). "Respondent(s). "Oillegnie	
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Margaret Kenns, the, the,	Treasurer of the (Chairman/Treasurer)
Committee to Dect Aleka	
nitiee, first being duly sworn, deposes and stat or defense to the assessment of a civil penalty	es that he/she represents that the said committee can offer a good in this matter, and that such reasons and defenses are:
I inadvertently put	the date of the contribution as the
,	ed. The date reported is the
	Committee received the receipt.
* .	on the report to reflect accuracy.
This is the first violation	
	Margaret Kering Signature of Chairman/Treasurer
and Swom to by:	
This 24th Day of march. 2016	THOMAS P. STAPKA OFFICIAL SEAL
'ublic	Notary Public - State of Illinois My Commission Expires August 06, 2016

STATE OF ILLINOIS

2329 S MacArthur Blvd. Springfield, Illinois 62704 217/782-4141 Fax: 217/782-5959

James R. Thompson Center 100 West Randolph, Suite 14-100 Chicago Illinois 60601 312/814-6440 Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

Steven S. Sandvoss
March 1, 2016

Committee to Elect Judge Aleksandra "Alex" Gillespie ID# 31654 Michael Gillespie 53 W. Jackson Blvd, Ste 1062 Chicago, IL 60604 7015 064

7015 0640 0002 4567 2577

Dear Committee to Elect Judge Aleksandra "Alex" Gillespie:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

Contributed By	<u>Date of</u> <u>Contribution</u>	Amount of Contribution	<u>Date</u> Reported	Days Late	<u>Fine</u> Assessed
Matthew Schmeltz	10/22/2015	\$2900	11/1/2015	2	\$1450
Frank DiFranco	11/3/2015	\$3705	11/11/2015	1	\$1853

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$3303.00 for the delinquent filing. This total *does not* reflect any previously assessed fines.

Under the Board's present policy, since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$330.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 1, 2016 you forfeit the right to contest this assessment.

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director

Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

### STATE OF ILLINOIS COUNTY OF SANGAMON

# BEFORE THE STATE BOARD OF ELECTIONS STATE OF ILLINOIS

Illinois State Board of Elections

### Complainant

v.

16 AD 002

McLean County Republican Central Committee

ID #276

### Respondent

#### REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing a Schedule A-1 Report

The Committee received a \$2,000 contribution on 12/7/15, and reported it on a Schedule A-1 received by the Board on 1/3/16, 13 days late, resulting in a civil penalty assessment of \$1,000. Additionally, the Committee had previously been assessed a \$100 civil penalty (not appealed, stayed) for delinquently filing a Schedule A-1 in the 1<sup>st</sup> quarter of 2015. The total assessment is \$1,100.

Charles Erickson, the Chairman of the Committee, filed a Request for Hearing and submitted an Appeal Affidavit.

The Hearing was held on 5/3/16. Mr. Erickson states the Committee was not willful or wanton in neglecting to file the Schedule A-1 timely. The previous Treasurer was distracted with the holidays but once he realized it was delinquent, filed the report immediately. The Committee now has a new treasurer who is a CPA and will be diligent filing future reports on time. Mr. Erickson has emphasized it was never the intent of the Committee to ignore the Board and not follow regulations. He is asking the fine be waived.

While I am sympathetic to Mr. Erickson, I must recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violation was anything other than inadvertent and unintentional and since this is the second A-1 violation for this Committee, I further recommend the penalty be reduced to 50% of the original assessment or \$500. If these recommendations are accepted by the Board, the stay would be lifted from the previous \$100 assessment and the total \$600 civil penalty will be due and owing.

(As of 3/31/16, this Committee reported a funds available balance of \$23,647.23.)

Kim Patrick – Hearing Office

May 3, 2016

of Illinois  STATE BOARD OF ELECTIONS	
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BEFORE THE STATE BOARD OF ELECTIONS OF THE STATE OF ILLINOIS	
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Complainant )	
Mclear County Republican Central  Respondent(s). Comm. Hee  Case No. 16A DOO2  # 276	
APPEAL AFFIDAVIT	
Charles N. Erce Ksor, the Chairman of the	
(Name) (Chairman/Treasurer)  Me Gan Coanty Republican Contral Committee)  (Name of the Committee)	· .
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-Our treasurer Andrew Kuhik is resonable for	<u> </u>
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e this 29 Day of March, 2016

Which Bets Huffman
Notary Public - State of Illinois
My Commission Expires 1/21/2919

2329 S MacArthur Blvd. Springfield, Illinois 62704 217/782-4141

Fax: 217/782-5959

James R. Thompson Center 100 West Randolph, Suite 14-100 Chicago Illinois 60601 312/814-6440

Fax: 312/814-6485



**BOARD MEMBERS** Charles W. Scholz, Chairman Ernest L. Gowen, Vice Chairman William J. Cadigan Andrew K. Carruthers Betty J. Coffrin John R. Keith William M. McGuffage Casandra B. Watson

#### **EXECUTIVE DIRECTOR** Steven S. Sandvoss

March 1, 2016

McLean County Republican Central Committee ID# 276 PO Box 1776 Bloomington, IL 61702

7015 0640 0002 4567 2584

Dear McLean County Republican Central Committee:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	<u>Date</u> Reported	Days Late	Fine Assessed
Edward Rust	12/7/2015	\$2000	1/3/16	13	\$1000

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1000.00 for the delinquent filing. This total does not reflect any previously assessed fines.

Under the Board's present policy, since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$500.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 1, 2016 you forfeit the right to contest this assessment.

Since this is a subsequent violation, if the above listed violation is not appealed, the previously stayed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
2015 March	Al	\$100
TOTAL AMOUNT NOW DUE		\$600

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Tom Newman, Director

Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

### STATE OF ILLINOIS COUNTY OF SANGAMON

# BEFORE THE STATE BOARD OF ELECTIONS STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

15 MA 106

Petroleum Political Education Committee of IL II

Respondent

ID# 308

#### REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the June 2015 Quarterly Report and Failure to File a Schedule A-1 Report

The Committee's June 2015 Quarterly Report was received by the Board on 10/15/15, 64 days late, resulting in a civil penalty assessment of \$5,000. Additionally, the Committee received a \$1,322.25 contribution on 8/26/15, and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$661. The Committee was previously assessed a \$400 civil penalty (not appealed, paid) for delinquent filing of the March 2014 Quarterly Report and a \$150 civil penalty (not appealed, paid) for delinquent filing of the December 2012 Quarterly Report. The total assessment is \$5,661.

William J. Fleischli, Sr, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Fleischli refers to a letter from Committee Comptroller Brenda Fox, who says the late filings were an oversight on her part. She says caring for two elderly parents suffering from aging and injuries caused her to overlook the filings.

While I am sympathetic to the difficulties faced by Ms. Fox, her explanation unfortunately does not rise to the level of a defense for the late filings. I therefore recommend the appeal be denied. However, in regards to the late A-1 filing, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the first A-1 violation for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$66. If these recommendations are accepted by the Board, a civil penalty of \$5,061 will be due and owing. (As of 12/31/15, this Committee reported a funds available balance of \$26,727.90)

Tom Newman – Hearing Officer

April 1, 2016

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	(Name of the	Committee)		
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### Illinois Petroleum Marketers Association Illinois Association of Convenience Stores

WM. R. DEUTSCH BUILDING 112 WEST COOK STREET • SPRINGFIELD, ILLINOIS 62704 PHONE: 217/544-4609 • FAX: 217/789-0222

WILLIAM J. FLEISCHLI **EXECUTIVE VICE PRESIDENT** 

> State Board of Elections Attn: Campaign Disclosure 2329 S. MacArthur Blvd Springfield, IL 62704

December 8, 2015

State Board of elections of the State of Illinois

When I went to file my 3<sup>rd</sup> quarter D2 report for State Board of Elections, I truly felt sick when I realized that I had not filed the 2<sup>nd</sup> quarter report that was due July 15, 2015. I checked my files, as I was sure I had reported as I always do. My defense is life outside of work. I have an aging Mother who is recovering from cancer and an aging Mother in law who fell and broke her leg in July which caused her to go to rehab and finally a nursing home. With 2 parents in their early 80's suffering from aging alone and extra issues, my care and need to be with them has caused me to not fulfill an obligation at my job that I know needs to be done. In checking the report, we only received the interest income as income for the quarter and issued \$12,500.00 in disbursements which is not a huge amount of activity. I would ask that the fine be removed or reduced since it is clearly an oversight. If you check my history, I think you will find that my reports have been timely. Should you have any questions, please do not hesitate to contact Bill Fleischli or myself.

Respectfully,

Brenda Fox Comptroller

Illinois Petroleum Marketers Association

William J. Fleischli, Sr **Executive Vice President** 

Chairman PPECI

SICIAL SEAL THE DUPONT ুমেয়ন্ত শীঘটাইত - State of Illinois My Commission Expires 1/19/2019

CAL SEAL

### STATE BOARD OF ELECTIONS STATE OF ILLINOIS

2329 S MacArthur Blvd Springfield, Illinois 62704 217/782-4141 Fax: 217/782-5959

James R. Thompson Center 100 West Randolph, Suite 14-100 Chicago Illinois 60601 312/814-6440 Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

Petroleum Political Education Comm of IL (PET PEC) ID# 308 Gerald Huot, William Fleischli Sr., Brenda Fox 112 W Cook St, PO Box 12020 Springfield, IL 62704-2512

7015 1730 0002 1035 1406

Dear Petroleum Political Education Comm of IL (PET PEC):

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:

June 2015 Quarterly Report of Campaign Contributions and Expenditures

Report Period:

April 1, 2015 through June 30, 2015

Filing Period:

July 1, 2015 through OJuly 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on October 15, 2015, 64 day(s) late. As such, this committee has been assessed a fine of \$5000.00.

In addition, this committee failed to timely file the Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	<u>Date of</u>	Amount of	Date A-1	Days	Fine
	<u>Contribution</u>	Contribution	Received	Late	Assessed
M-PACT	8/26/2015	\$1322.25	10/15/2015	29	\$661

The committee is fined a **total** of \$661.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total *does not* reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$61.00, (10% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

### The total for all assessments in this letter is \$5061.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed by December 18, 2015. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.

If the above listed violation is not appealed, it is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd, Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Tom Newman, Director

Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

### STATE OF ILLINOIS COUNTY OF SANGAMON

## BEFORE THE STATE BOARD OF ELECTIONS STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

16 DQ 021

Rochester Education Assn PAC
Cmte ID: 14057
Respondent

### REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment For Delinquently Filing the December 2015 Quarterly Report

The Report was received February 1st, 2016, 10 days late. The assessment is \$250. This is the Committee's first offense.

Kaili Gustafson, the Treasurer of the Committee, filed a Waiver of Appearance and Appeal Affidavit in this matter. On the affidavit, she stated the Committee was not notified in advance of the Report's due date, as dictated by 10 ILCS 5/9-15. At the time, the Committee had requested electronic notification of pending Reports.

I recommend the appeal be denied for lack of an adequate defense. The e-mail address for notification provided by the Committee matches the one to which notice was sent on December 15<sup>th</sup>, 2015. Without challenging the Committee's assertion it did not receive notice prior to the due date of the Report, all evidence indicates the Board fulfilled its statutory obligation with respect to said notice. If the Board accepts this recommendation, a stay would be placed on the \$250 assessment as the product of a first violation. As of March 31st, 2016, the Committee's reported balance was \$2,196.66.

John Levin – Hearing Officer May 3rd, 2016

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unty of: Sangamon)	STATE BOARD OF ELECTIONS
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THE MATTER OF;	
INOIS STATE BOARD OF ELECTION	ONS, ( )
Complainant	
Rochester Ed. Assn PA Respondent(s).	Case No. 1000021
	APPEAL AFFIDAVIT
Vaili Gustafson (Name)	, the
Rochester Ed. ass	
on defense to the assessment of a civ	s and states that he/she represents that the said committee can offer a good il penalty in this matter, and that such reasons and defenses are:
Maili Gustafson	rever recieved paperwork for
tiling the Dec.	report due January 4. Electronic
Ollappo paperwork	was never received. I have
Since requested	to go back to paper copies.
	, \
and Swarm To by: Surface this 18th Day of Afril.	Signature of Chairman Treasure: Kail Justopom
Public Public	OFFICIAL SEAL

OFFICIAL SEAL
JESSICA M CHURCHILL
NOTARY PUBLIC - STATE OF ILLINOIS
MY COMMISSION EXPIRES 4-20-2019

2329 S MacArthur Blvd., P.O. Box 4187 Springfield, Illinois 62708-4187 217/782-4141

Fax: 217/782-5959

James R. Thompson Center 100 West Randolph, Suite 14-100 Chicago Illinois 60601 312/814-6440 Fax: 312/814-6485 STATE OF ILLINOIS



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
March 10, 2016
CORRECTED ASSESSMENT
ID# 14057

Rochester Education Assn PAC Kaili Gustafson 25 Wind Cave Drive Springfield, IL 62712

Dear Rochester Education Assn PAC:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: December Quarterly Report of Campaign Contributions and Expenditures

Report Period: October 1, 2015 through December 31, 2015 Filing Period: January 3, 2016 through January 15, 2016

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 2/1/2016, 10 days late. As such, this committee has been assessed a fine of \$500.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 10, 2016 you forfeit the right to contest this assessment.

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs**.

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director

Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

### STATE OF ILLINOIS COUNTY OF COOK

## BEFORE THE STATE BOARD OF ELECTIONS STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

15 AD 110

Friends of Camille Y Lilly (ID# 22767)

Respondent

### REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing Schedule A-1 Reports For the 4th Quarter of 2014

The Committee received a \$1,000 contribution on 12/2/14 and reported it on a Quarterly Report received by the Board on April 8, 2015, 80 days late resulting in a \$500 civil penalty.

Michael J. Kasper, Attorney of the Committee, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on October 28, 2015.

Attorney Michael J. Kasper appeared on behalf of the Committee. Kasper stated the Committee made a deposit on Dec 2<sup>nd</sup> containing several contributions. He provided a copy of the deposit slip as evidence. The Committee's A-1 for the corresponding date reported each contribution listed on the deposit slip except the contribution from the Illinois Transportation Trade Assoc. PAC. In reading the deposit slip the person responsible for filing the report misinterpreted the contribution from the IITA PAC to be \$500. An amendment was filed on April 8<sup>th</sup> 2015 with the correct contribution included.

I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the first A-1 violation for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$50. As a first violation, the penalty is stayed. (As of 12/31/15, this Committee reported a funds available balance of \$95,165.14).

Clinton J. Jenkins – Hearing Officer

October 28, 2015

TATE ROARD OF ELECTIONS

State of Illinois	)	•	15 SEP 23 AM	8: 05
County of: Cook	_)		19 25 53 M	
		TE BOARD OF ELEC TATE OF ILLENOIS	PROOF	
IN THE MATTER OF;	)	•		
ILLINOIS STATE BOARD OF	ELECTIONS, )			
Complainant	)	•		
Vs.	)	Case No.	ONLAR	
Friends of Camille Y Lilly  Respondent(s):	) )	to the second of the second		. •
	APPE	AL AFFIDAVIT		
ı, <u>Michael J. Kasper</u>	, the	Attorney		ofthe
(Name)	• • • • • • • • • • • • • • • • • • • •	(€	Hairman/Treasurer)	
Friends of Camille Y L		of the Committee)		
Penalty was wrongfully a	ssessed. Reasons t	o be presented at t	he hearing.	
			- A. H.	
				man/Freasurer
Signed and Sworn to by:				
before me this $30^{\circ}$ Day of S	eptember, 2015			
Notary Public Unixe	Can			
(seal) OFFICIAL SEAL	/ 1			
DORENÉ M EGAN NOTARY PUBLIC - STATE OF ILL MY COMMISSION EXPIRES:08/4	INOIS			

#### STATE BUAKD OF ELECTIONS STATE OF ILLINOIS

2329 S MacArthur Blvd. Springfield, Illinois 62704 217/782-4141 Fax: 217/782-5959

James R. Thompson Center 100 West Randolph, Suite 14-100 Chicago Illinois 60601 312/814-6440

Fax: 312/814-6485



**BOARD MEMBERS** Charles W. Scholz, Chairman Ernest L. Gowen, Vice Chairman William J. Cadigan Andrew K. Carruthers Betty J. Coffrin John R. Keith William M. McGuffage Casandra B. Watson

Steven S. Sandvoss August 28, 2015

Friends of Camille Y Lilly 7115 W North Ave, PO Box 260 Oak Park, IL 60302-1002

ID# 22767

7015 1730 0002 1035 4223

Dear Friends of Camille Y Lilly:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	<u>Date</u> Reported	<u>Days</u> Late	<u>Fine</u> Assessed
Illinois Transportation Trade Assoc. PAC	12/2/2014	\$1000	4/8/2015	80 .	\$500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$500.00 for the delinquent filing. This total does not reflect any previously assessed fines.

Under the Board's present policy, since this is the third delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$500.00, (100% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 28, 2015 you forfeit the right to contest this assessment.

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director

Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

# STATE OF ILLINOIS COUNTY OF SANGAMON

# BEFORE THE STATE BOARD OF ELECTIONS STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

16 AD 009

Democratic Women of the South Suburbs Cmte ID: 23654 Respondent

#### REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment For Delinquently Filing a Schedule A-1 Report

The A-1 was received October 13th, 2015, 1 day late, with respect to a \$1,600 In Kind donation from Sharon Morrissette. The donation was dated October 3<sup>rd</sup>, 2015. The assessment of \$800 is automatically reduced to \$400 (50% of the fine amount) as the product of a second A-1 offense. The Committee was previously fined \$25 (not appealed, paid) for delinquently filing the September 2013 Quarterly Report and \$575 (appeal denied, assessment automatically reduced to \$58, paid) for failing to file a Schedule A-1 during the third quarter of 2014. The total assessment stands at \$400.

Hattie Hester, the Treasurer of the Committee, filed a Waiver of Appearance and Appeal Affidavit in this matter. On the affidavit, she stated the In Kind in question – a vacation package - was sold at the Committee's silent auction on October 3<sup>rd</sup>, 2015. The contributor had received the vacation package as a gift and estimated its value to the Committee. Ms. Hester said the late A-1 was the product of miscommunication between the contributor (Ms. Morrissette) and a former Committee Treasurer.

I recommend the appeal be denied for lack of an adequate defense. The date of receipt of the In Kind is made clear in the affidavit. The fact the donation was an In Kind contribution, the value of which had to be estimated, does not mitigate the Committee's requirement to file an A-1 in a timely manner. If the Board accepts this recommendation, a total of \$400 would be due and owing. As of March 31st, 2016, the Committee's reported balance was \$5,626.06.

John Levin – Hearing Officer

May 3rd, 2016

State of Illinois )		CHICAGO
County of )		16 MAR 29 AM 11: 27
	TE BOARD OF ELECTIONS FATE OF ILLINOIS	STATE BOARD OF ELECTIONS
IN THE MATTER OF;	)	. 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
ILLINOIS STATE BOARD OF ELECTIONS,	)	
Complainant	)	
Vs. Democratic Women of the South Suburbs Respondent(s).	) Case No. 101	P0009
respondent(s).	,	
	AL AFFIDAVIT	
I,, the, the, Name)  Democratic Women of	Treasurer (Chairman/Treasure of the South Suburbs	of the
(Name o	of the Committee)	
Committee, first being duly sworn, deposes are can offer a good reason or defense to the assoreasons and defenses are:  Please see attached	essment of a civil penalty in this	hat the said committee s matter, and that such
Signed and Sworn to by:  Thus Senton  before me this 29 Day of  March, 2016  Notary Public	Hattie Hest (Signature of Chairman/T	reasurer)
JULIUS SEXTON Official Seal		

My Commission Expires Jul 31, 2019



### **DEMOCRATIC WOMEN OF THE SOUTH SUBURBS**

P. O. Box 186 Flossmoor, Illinois 60422

Illinois State Board of Elections James R. Thompson Center 100 West Randolph, Suite 14-100 Chicago, Illinois 60601

March 28, 2016

Dear State Board of Elections Appeal Board,

The Democratic Women of the South Suburbs is respectfully appealing an assessed civil penalty of \$400 imposed for our delinquent filing of Schedule A-1 by one day. We would like you to consider waiving the penalty based on the following reasons.

The \$1600 contribution was an in-kind donation given to our committee, a gift package of 5 days/4 nights for two at a hotel of their choice in Cancun, which was sold at our silent auction held on October 3, 2015 for \$300. Since the contributor, Sharon Morrissette, had received this vacation package as a gift and was giving it to our organization as a donation, the value had to be determined. Ms. Morrissette found comparable costs and estimated \$1600 for the vacation package.

The Democratic Women of the South Suburbs and our newly installed treasurer are committed to following the guidelines of the Illinois Campaign Disclosure Act. Our late filing, in this case, was unintentional and based on miscommunication between the then treasurer and Sharon Morrissette. A penalty of \$400 for our small organization would be a financial setback.

Thank you for your consideration.

Sincerely, Hattie Hester

Hattie Hester Treasurer STATE OF ILLINOIS

2329 S MacArthur Blvd. Springfield, Illinois 62704 217/782-4141 Fax: 217/782-5959

James R. Thompson Center 100 West Randolph, Suite 14-100 Chicago Illinois 60601 312/814-6440 Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR Steven S. Sandvoss

March 1, 2016

Democratic Women of the South Suburbs PO Box 1925 Matteson, IL 60443 ID# 23654

7015 0640 0002 4567 2614

Dear Democratic Women of the South Suburbs:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

Contributed By	<u>Date of</u> <u>Contribution</u>	Amount of Contribution	<u>Date</u> Reported	Days Late	Fine Assessed
Sharon Morressitte	10/3/2015	\$1600	10/13/2015	1	\$800

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$800.00 for the delinquent filing. This total *does not* reflect any previously assessed fines.

Under the Board's present policy, since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$400.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 1, 2016 you forfeit the right to contest this assessment.

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

I am va

Tom Newman, Director

Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

# STATE OF ILLINOIS COUNTY OF SANGAMON

# BEFORE THE STATE BOARD OF ELECTIONS STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

16 DQ 061

Citizens to Elect Mark V Ferrante Cmte ID: 24041 Respondent

#### REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment For Delinquently Filing the December 2015 Quarterly Report

The Report was received January 19th, 2016, 1 day late. The assessment is \$50. The Committee was previously fined \$25 (not appealed, stayed) for delinquently filing the September 2014 Quarterly Report. The total assessment is \$75.

Mark V. Ferrante, the Candidate supported by the Committee, filed a Waiver of Appearance and Appeal Affidavit in this matter. On the affidavit, he stated the Committee has been (largely) inactive since 2012. Mr. Ferrante said the late filing occurred because he "inadvertently failed to heed [his] computer calendar diary reminder."

I recommend the appeal be denied for lack of an adequate defense. There is no statutory basis for relief in this matter. If the Board accepts this recommendation, the stay on the \$25 assessment for the late September 2014 Quarterly Report would be lifted, and a total of \$75 would be due and owing. As of March 31st, 2016, the Committee's reported balance was \$552.42.

John Levin – Hearing Officer

April 29th, 2016

State of Illinois )	
County of: Cook )	CHICAGO
	STATE BOARD OF ELECTIONS 16 MAR 31 PM 12: 38
OF TH	E STATE OF ILLINOIS STATE DOARD OF ELECTIONS
N THE MATTER OF;	
ILLINOIS STATE BOARD OF ELECTIONS,	
Complainant	1600061
Vs.	) Case NoID# 24048
Citizens to Elect Mark V. Ferrante	) Case No
Respondent(s).	) )
AP	PEAL AFFIDAVIT
Mark V. Ferrante , the	<b>Chairman</b> of the
(Name)	(Chairman/Treasurer)
Citizens to Elect Mark V. Ferrant	te
(Nan	me of the Committee)
	ates that he/she represents that the said committee can offer a good ty in this matter, and that such reasons and defenses are:
My campaign has been inactive since 3/2012. From my home comp	puter campaign I entered a 10/14/2015 Website hosting company's fee on the IDIS system.
At the time I entered this expenditure on the IDIS system, I dia	aried this matter on my work computer to file the 10/1 - 12/31/2015 fee on or before
January 15, 2016. However, I inadvertently failed to he	eed my computer calendar diary reminder. Immediately upon receiving a
courtesy call from the Illinois State Boar	d of Elections, I filed the quarterly report on 1/19/2016.
	Mark Ferran
	Signature of Chairman Threasurer
ioned and Sworm to by:	"OFFICIAL SEAL"
the thingthophy	Phi Phung Humphreys Notary Public, State of Illinois
efore me this 2016 bay of Much. 2016	My Commission Expires June 04, 2018

Notary Public seal)

#### STATE BUARD OF ELECTIONS STATE OF ILLINOIS

2329 S MacArthur Blvd. Springfield, Illinois 62704 217/782-4141 Fax: 217/782-5959

James R. Thompson Center 100 West Randolph, Suite 14-100 Chicago Illinois 60601 312/814-6440

Fax: 312/814-6485



**BOARD MEMBERS** Charles W. Scholz, Chairman Ernest L. Gowen, Vice Chairman William J. Cadigan Andrew K. Carruthers Betty J. Coffrin John R. Keith William M. McGuffage Casandra B. Watson

**EXECUTIVE DIRECTOR** Steven S. Sandvoss March 1, 2016 ID# 24048

Citizens to Elect Mark V Ferrante Eric H Check 161 N Clark St, Ste 2575 Chicago, IL 60601

7015 0640 0002 4567 1846

Dear Citizens to Elect Mark V Ferrante:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:

December Quarterly Report of Campaign Contribution and Expenditures

Report Period:

October 1, 2015 through December 31, 2015

Filing Period:

January 4, 2016 through January 15, 2016

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 1/19/2016, 1 day(s) late. As such, this committee has been assessed a fine of \$50.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 1, 2016 you forfeit the right to contest this assessment.

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
2014 September	Quarterly	\$25.00
TOTAL AMO	\$75.00	

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director,

Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

### STATE OF ILLINOIS COUNTY OF SANGAMON

# BEFORE THE STATE BOARD OF ELECTIONS STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

V.

16 DQ 063

Diogenes of Illinois PAC

ID #24416

Respondent

#### REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the 2015 December Quarterly Report

The 2015 December Quarterly report was received by the Board on January 31, 2016, 9 days late, resulting in a civil penalty assessment of \$450. Additionally, the Committee had previously been assessed a \$50 civil penalty (not appealed, stayed) for delinquently filing the 2015 March Quarterly Report. The total assessment is \$500.

Robert Neff, the Chairman/Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

Mr. Neff states he tried to electronically file the Quarterly report on January 1, 2016 but was unable to do so. He says that he was aware the filing period ends on the 15<sup>th</sup> of the month but thought since the filing period didn't begin until January 4<sup>th</sup> that it would be extended 3 more days. Once he received notification from the Board that the report was delinquent, the report was immediately filed.

I must recommend the appeal be denied for lack of an adequate defense. Although the Campaign Disclosure calendar lists the filing dates as January 4 – January 15, reflecting the business days Board offices are open, IDIS3 still allows committees to file the Quarterly report starting January 1. Mr. Neff was still required to have his Committee's report to the Board by the deadline date of January 15<sup>th</sup>. If this recommendation is accepted by the Board, the stay would be lifted from the previous \$50 and the \$500 civil penalty will be due and owing.

(As of 3/31/16, this Committee reports a funds available balance of \$75.71.)

Kim Patrick – Hearing Officer

May 3, 2016

e of Illinois	
nty of: (Cook )	STATE BOARD OF ELECTIONS
BEFORE THE STATE BOARD OF ELECTIONS OF THE STATE OF ILLINOIS	2016 APR -4 PM 2: 18
THE MATTER OF;	
INOIS STATE BOARD OF ELECTIONS,	
Complainant )	
DIOGENES OF III(NOS PAC) Case No. 1600	063
Respondent(s).	
ROBERT NETT TO CHAIR OF AN ITHORNA	
ROBERT NEFF, the CHARMAN TRASMI (Chairman	
DIOGENES OF MINOIS POLITICAL ACTION COM	uttee
(Name of the Committee)	
mittee, first being duly sworn, deposes and states that he/she represents that the on or defense to the assessment of a civil penalty in this matter, and that such reas	said committee can offer a good ons and defenses are:
- Please See ATTAChed Shorts	
"	2 OF APPENER
3 Pages - Reason	1 of Appence
, , , , , , , , , , , , , , , , , , ,	
Sign	ature of Chairman/Treasurer
OFFICIAL SEAL ISAURA MORENO NOTARY PUBLIC, STATE OF ILLINOIS My Commission Expires May 12, 2018	
me this 31 Day of MARCH, 2016	
Public	

I am appearing the Civil Penalty assessed this Committee for the inadventent deliquent filing of its 2015 4th Otr D-2 Report. I am reasting the resulting Fines and Fee's be walted on Reduced.

Upon the Closing of the Books on the 2015 4th Qte (December 25th 2015) I ATTEMPTED to File electronically (I HAVE BEEN FILING electronically For Several Years) on Friday January (St 2016 But was unsuccessful. I ATTEMPTED Several times on Saturday January 2nd and Sinday January 3rd But Everytime I was unable and Successfully File the Committees 0-2

I AM AWARE THAT THE REPORTING PERIOD ENDS ON The 15th OF the Month Following the End of A QUARTER UNLESS the 15th of that Manh Falls an or beekend on blooday, in which Case the deadline would be Extended to the Following Monday, to the Best Of my Recollection Monday, an addinary beforeing on An Extended During an addinary beforeing on An Extended Period I Have Been Able to tile A D-Z Electronically

On JATURDAYS ITA 1100 U. TON UN UNCAYS 113 1 often WORK SATURDAYS .

I heree imagined that 3 days would be tringed OFF OF the Beginning of A Reporting Period (Shortening 17) Beauting A 3-day BLACKAT Period Between the Close OF Books on December 31st and the Opening OF the Reporting Period on January Lith-HAD I Submitted the Report the Following

been I would not then this my Problems.

Hovever, AFTER my Failed AFTEMPTS AND Subsequent Probaters with My Connete Work, Ad Winter, I FAILED to File My D-2 And Inadventary Assumed FAILED HAVING BOOK ERSON SPENT TIME WORKING ON IT in the beginning or January It HAR been Filed.

The D-2 in Question was impediately Filed Upon Recipt OF the SBOE'S letter Notitying ME OF Its deliquency And the Realization that in Fact despite my time spent in Early January the D-2 HAZ NOT been Accepted of Filez.

The Fault 15 mine. There has NO

Intent on My PART to Prosefully delay Filing the Committees D-2. I did not corretly understand the Recurrents regarding the opening OF a Reporting Period AFTER The Close of Books OM A QUARTER.

Thank you FOR your Consideration or My Represt

HOBER NEW

2329 S MacArthur Blvd. Springfield, Illinois 62704 217/782-4141

Fax: 217/782-5959

James R. Thompson Center 100 West Randolph, Suite 14-100 Chicago Illinois 60601 312/814-6440

Fax: 312/814-6485

STATE OF ILLINOIS



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR Steven S. Sandvoss March 1, 2016 ID# 24416

Diogenes of Illinois PAC Robert Neff 1315 Southwind Drive Northbrook, IL 60062

7015 0640 0002 4567 1853

Dear Diogenes of Illinois PAC:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:

December Quarterly Report of Campaign Contribution and Expenditures

Report Period:

October 1, 2015 through December 31, 2015

Filing Period:

January 4, 2016 through January 15, 2016

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 1/31/2016, 9 day(s) late. As such, this committee has been assessed a fine of \$450.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 1, 2016 you forfeit the right to contest this assessment.

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
2015 March	Quarterly	\$50.00
TOTAL AMO	\$500.00	

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director,

Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

# STATE OF ILLINOIS COUNTY OF COOK

### BEFORE THE STATE BOARD OF ELECTIONS STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

15 DQ 093

Friends of Paul Mulcahy (ID# 24669) **Respondent** 

#### REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
The December 2014 Quarterly Report

The Report was received by the Board on January 20, 2015, 2 days late, resulting in a civil penalty assessment of \$100. In addition the committee was assessed a \$150 civil penalty (not appealed, stayed) for delinquently filing the 2013 June Quarterly Report. The total assessment is \$250.

Elizabeth Felt Wakeman, Treasurer of the Committee, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on May 6, 2015.

Elizabeth Felt Wakeman appeared on behalf of the Committee. Ms. Wakeman stated that the initial cause of the committee's problem stemmed from the late filing of a June 2013 report which was the result of some medical hardships involving her mother. During the filing period of the June 2013 report Ms. Wakeman's mother was taken to the hospital by ambulance; as a result of her complications she was placed on an order of 24-hour care. The order of 24-hour care required Ms. Wakeman to stay with her mother at her mother's home until staff was hired and trained to continue with her mother's care. Ms. Wakeman stated that because of her mother's illness she was not physically present to file the committee's disclosure report. The committee did not appeal the fine because it was stayed and she was sure to be careful in the future. Ms. Wakeman stated that she normally faxed the reports in to the Board but was told by staff, during a call in fall of 2014, that the Board preferred mail. The next report was sent by mail. She stated that she mailed it from the post office on January 13<sup>th</sup>. She said she had confidence that her filing would reach the Board by the deadline on January 15<sup>th</sup> because many of the pervious letters she had sent to California from Illinois had arrived within two days.

The Committee's December 2014 Quarterly Report was postmarked on January 13, as indicated by Ms. Wakeman. However, in order to be considered a timely filing, the Report would have to have been postmarked at least 72 hours prior to the deadline, which means a January 12 postmark was required. I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, a \$250 civil penalty will be due. (As of 12/31/15, this Committee reported a funds available balance of \$1,228.45).

Clinton J. Jenkins - Hearing Officer

February 9, 2016

State of Illinois	
County of: Moller M.	STATE BOARD OF ELECTIONS
BEFORE THE STATE BOAR OF THE STATE OF	
N THE MATTER OF;	
LLINOIS STATE BOARD OF ELECTIONS, )	
Complainant )	
Tocks faul My huby  Respondent(s).  Case	No. +500093
APPEAL AFFID	AVIT
Elizabeth Telt Wakera, the Transport	ezsweof the
Fredo & Paul Mulcohy	(Chairman/Treasurer)
(Name of the Com	mittee)
committee, first being duly sworn, deposes and states that he/she eason or defense to the assessment of a civil penalty in this matter.  The report law glowy seen fair	er, and that such reasons and defenses are:
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menty coursed that farmy na not ,	Dem, Hed I kepsonker the
report in the pail on 1-13-15	
for a stable si that I was a here	Empression to the Starting  Signature of Chairman/Treasurer
for eme this 20th Day of March, 2015 NOTARY	OFFICIAL SEAL ESICA CARBAJAI, PUBLIC - STATE OF ILLINOIS MISSION EXPIRES:07/23/18

### STATE OF ILLINOIS

2329 S MacArthur Blvd. Springfield, Illinois 62704 217/782-4141 Fax: 217/782-5959

James R. Thompson Center 100 West Randolph, Suite 14-100 Chicago Illinois 60601 312/814-6440

Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

**EXECUTIVE DIRECTOR Steven S. Sandvoss**February 27, 2015

ID# 24669

Friends of Paul Mulcahy Paul Mulcahy 3960 Peartree Dr Lake In The Hills, IL 60102

7014 2120 0001 1723 2768

Dear Friends of Paul Mulcahy:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:

December Quarterly Report of Campaign Contribution and Expenditures

Report Period:

October 1, 2014 through December 31, 2014

Filing Period:

January 1, 2015 through January 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 1/20/2015, 2 day(s) late. As such, this committee has been assessed a fine of \$100.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Report Type	Previous Fine Amount
Quarterly ()(()/X)	\$150
AMOUNT NOW DIE 1, 3	\$250.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director, Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

# STATE OF ILLINOIS COUNTY OF SANGAMON

# BEFORE THE STATE BOARD OF ELECTIONS STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

16 DQ 083

Illinois Concrete PAC (IC-PAC)
Cmte ID: 25966
Respondent

#### REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment For Delinquently Filing the December 2015 Quarterly Report

The Report was received February 1st, 2016, 10 days late. The assessment is \$500. This is the Committee's first offense.

James Randolph, the Chairman and Treasurer of the Committee, filed a Waiver of Appearance and Appeal Affidavit in this matter. On the affidavit, he stated he became Executive Director for the association related to the Committee last year and mistakenly believed the December 2015 Quarterly Report deadline was January 31<sup>st</sup>, 2016. Since that date was on a Sunday, Mr. Randolph believed he had until February 1<sup>st</sup> to file the Report.

I recommend the appeal be denied for lack of an adequate defense. I appreciate Mr. Randolph's candor, but there is no statutory basis for relief based on his representation. If the Board accepts this recommendation, a stay would be placed on the \$500 assessment as the product of a first violation. As of March 31st, 2016, the Committee's reported balance was \$15,257.49.

John Levin – Hearing Officer

May 3rd, 2016

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inty of:	) )		STATE BO	ARD OF ELEC	TIONS
		TATE BOARD OF ELECTION ESTATE OF ILLINOIS		-4 PM 2:	
THE MATTER OF;		)	v .		A.
INOIS STATE BOARD OF	ELECTIONS,	)			
Complainant		) )			
		) Case No. 16 D	2083		
ILLINOIS CONCRETE Respondent(s).	PAC	) )			
	APP.	EAL AFFIDAVIT			
JAMES RANDO (Name)	<b>ΣΣΡΗ</b> , the	CHAIRMAN (Chairma	an/Treasurer)	of the	
124/10		RETE PAC			
	(Name	of the Committee)			
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WERE ALL FIRSTS. TO APPEAL. THAN	, I SIMPLY LYOU FOR Y NBIDERATION	PLEAD YOUR MER	AND UN	DERSTA	NING
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### STATE BUARD OF ELECTIONS STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187 Springfield, Illinois 62708-4187 217/782-4141 Fax: 217/782-5959

James R. Thompson Center 100 West Randolph, Suite 14-100 Chicago Illinois 60601 312/814-6440 Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

Steven S. Sandvoss
March 1, 2016

Illinois Concrete PAC (IC-PAC)
James D Randolph
303 Landmark Drive, Ste 1A
Normal, IL 61761

ID# 25966

7015 0640 0002 4567 1303

Dear Illinois Concrete PAC (IC-PAC):

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: December Quarterly Report of Campaign Contributions and Expenditures

Report Period: October 1, 2015 through December 31, 2015 Filing Period: January 4, 2016 through January 15, 2016

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 2/1/2016, 10 days late. As such, this committee has been assessed a fine of \$500.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 1, 2016 you forfeit the right to contest this assessment.

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. Therefore, you need not pay this assessed civil penalty unless another violation occurs.

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director

Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

### STATE OF ILLINOIS COUNTY OF SANGAMON

# BEFORE THE STATE BOARD OF ELECTIONS STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

15 SQ 178

Friends of Robert Eastern III

ID #27399

Respondent

#### REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing the September 2015 Quarterly Report

The Report was received by the Board on November 9, 2015, 17 days late, resulting in a civil penalty assessment of \$425.

Robert Eastern, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Eastern states due to medical treatment he was unable to meet the filing deadline. While I am sympathetic to Mr. Eastern's medical issue, I must recommend the appeal be denied for lack of an adequate defense. As a first offense the \$425 civil penalty is stayed. Additionally, since the Committee filed a Final Report on 1/19/16, I recommend that should the Committee remain inactive for a period of two years following the Final Order imposing the fine, the fine be abated.

Kim Patrick – Hearing Officer April 29, 2016

of Illinois )	STATE BOARD OF ELECTIONS
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BEFORE THE STATE BOARD OF ELECTIONS OF THE STATE OF ILLINOIS	73.0 W 9 - 4 - LU \ \( \sigma \) 18
[E MATTER OF;	
OIS STATE BOARD OF ELECTIONS, )  Complainant )	
Robert FASTERA III ) Respondent(s).	78
ROBERT EASTERN, the Chairman/I	
(Name of the Committee)	EASTERN III
intee, first being duly sworn, deposes and states that he/she represents that the sa or defense to the assessment of a civil penalty in this matter, and that such reason	aid committee can offer a good
Que to medical theatment I was u	waste to meet
Ciling dead live,	
	:
OFFICIAL SEAL  DORENE C HOOSMAN  NOTARY PUBLIC - STATE OF ILLINOIS  MY COMMISSION EXPIRES:10/20/17 - Signature	obling Sent Till ure of Chairman Treasurer
and Sworm to by:	
e this 31 Day of March 2016	

2329 S MacArthur Blvd., P.O. Box 4187 Springfield, Illinois 62708-4187 217/782-4141

Fax: 217/782-5959

James R. Thompson Center 100 West Randolph, Suite 14-100 Chicago Illinois 60601 312/814-6440 Fax: 312/814-6485

STATE OF ILLINOIS



**BOARD MEMBERS** Charles W. Scholz, Chairman Ernest L. Gowen, Vice Chairman William J. Cadigan Andrew K. Carruthers Betty J. Coffrin John R. Keith William M. McGuffage Casandra B. Watson

**EXECUTIVE DIRECTOR** Steven S. Sandvoss March 1, 2016

Friends of Robert Eastern III Robert III Eastern 710 N 23rd East St Louis, IL 62205

ID# 27399

7015 0640 0002 4567 2751

Dear Friends of Robert Eastern III:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: September Quarterly Report of Campaign Contributions and Expenditures

Report Period: July 1, 2015 through September 30, 2015 Filing Period: October 1, 2015 through October 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 11/9/2015, 17 days late. As such, this committee has been assessed a fine of \$425.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 1, 2016 you forfeit the right to contest this assessment.

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. Therefore, you need not pay this assessed civil penalty unless another violation occurs.

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director

Campaign Disclosure Division

SS: Im

Enclosure(s): appeal packet

### STATE OF ILLINOIS COUNTY OF SANGAMON

# BEFORE THE STATE BOARD OF ELECTIONS STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

V.

15 AS 038

Citizens for Accountability

ID #29571

Respondent

#### REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing a Schedule A-1 Report

The Committee received a \$10,000 contribution on 7/6/15, and reported it on a Schedule A-1 received by the Board on 10/14/15, 65 days late, resulting in a civil penalty assessment of \$5,000. Additionally, the Committee had previously been assessed a \$1,080 civil penalty (not appealed, stayed) for failing to file a Schedule A-1 in the 2<sup>nd</sup> quarter of 2015. The total assessment is \$6,080.

Nir Basse, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

The Committee states the Secretary for the Committee was under the mistaken impression that only Quarterly filings were required of the Board. The Committee received a contribution of \$21,600 on 6/15/15 and it was reported on the 2<sup>nd</sup> Quarter report. The Committee received a subsequent contribution of \$10,000 on 7/6/15 which was filed on the 2015 3<sup>rd</sup> Quarter report. Mr. Basse is filing the appeal due to the fact that by the time the Committee received a notification of the first failure to file the Schedule A-1, they had already committed a 2<sup>nd</sup> violation. The Committee asks to count both of these penalties together as a first time violation and have the civil penalty be stayed.

Since the Committee did not receive notification from the Board of the first violation before committing the 2<sup>nd</sup> violation I recommend these violations to be counted both together as a first time violation. However, since there are two separate A-1 violations, I recommend the appeal to have them stayed be denied. Since there is no indication the violation was anything other than inadvertent and unintentional and since this is the first set of A-1 violations for this Committee, I further recommend the penalty be reduced to 10% of the original assessment or \$500. If these recommendations are accepted by the Board, the stay would be lifted from the previous \$1,080 assessment and the total \$1,580 civil penalty will be due and owing.

(As of 3/31/16, this Committee reported a funds available balance of \$7,573.10.)

Kim Patrick – Hearing Officer

May 3, 2016

State of illinois	)	STATE BOARD OF ELECTIONS
County of: Cook		
	BEFORE THE STA OF THE S	ATE BOARD OF ELECTIONS 2016 APR -4 PM 2: 1,7 STATE OF ILLINOIS
IN THE MATTER OF;	)	
ILLINOIS STATE BOARD OF	ELECTIONS,	
Complainant	) (*)	) ]
Vs.	)	Case No. 15775038
Citizens for Accountability	)	
Respondent(s).	)	
	APPE	EAL AFFIDAVIT
I, Nir Basse	, the	Treasurer of the
(Name)		(Chairman/Treasurer)
Citizens for Accountability		
		es that he/she represents that the said committee can offer a good in this matter, and that such reasons and defenses are:
THE PROPERTY OF THE PROPERTY O		
		11-10
		Signature of Chairman/Treasurer
Signed and Sworn to by:		
Man J. Mur		
before me this 31st Day of A		•
Notary Public (seal)	MARISSA L MILLER OFFICIAL SEAL stary Public, State of Illinois My Commission Expires May 11, 2019	

Attachment referenced in Appeal Affidavit
Citizens for Accountability
ID #29571

Our Secretary, Marissa Miller, filed the first quarterly report for the committee in the Spring of 2015, and she was under the (mistaken) impression that the quarterly filings were all that one needed to file in order to keep the committee in compliance. The committee received a contribution from Government Navigation Group on 6/5/15, and it was reported on the next due quarterly report on 7/6/15. Later that same day, after the quarterly filing had already taken place, the committee received a contribution from Azavar Technologies, which was reported on the quarterly report filed for 7/1/2015 to 9/30/2015. We received our first failure to timely report letter dated on August 28, 2015. Marissa immediately called the Campaign Disclosure Division for clarification on the rules, as we had not received the campaign disclosure calendar until that date as well, and the A-1 contribution rules were information that she'd previously been unaware of. After that call, she realized that we'd received Azavar's contribution the month prior, but had not filed an A-1 for that either, so she called back a second time and informed the Board that we'd committed the same penalty already. She was told to wait for the assessments to come out and then apprise the Board of the misunderstanding at that time.

We are filing a Notice of Appeal due to the fact that by the time we received a notification of the first failure to report, we'd already committed the second violation. We would greatly appreciate being given the opportunity to count both of these penalties together as our first penalty, and have the assessed civil penalty be stayed as a first violation per the original letter issued on August 28<sup>th</sup>.

2329 S MacArthur Blvd. Springfield, Illinois 62704 217/782-4141 Fax: 217/782-5959

James R. Thompson Center 100 West Randolph, Suite 14-100 Chicago Illinois 60601 312/814-6440 Fax: 312/814-6485

EXECUTIVE DIRECTOR Steven S. Sandvoss

STATE OF ILLINOIS

**BOARD MEMBERS** Charles W. Scholz, Chairman Ernest L. Gowen, Vice Chairman William J. Cadigan Andrew K. Carruthers Betty J. Coffrin John R. Keith William M. McGuffage Casandra B. Watson

March 1, 2016

Citizens for Accountability 332 S. Michigan Ave, Ste 1032 - C 312

Chicago, IL 60604

ID# 29571

7015 0640 0002 4567 2379

Dear Citizens for Accountability:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

Contributed By	<u>Date of</u> <u>Contribution</u>	Amount of Contribution	<u>Date</u> <u>Reported</u>	Days Late	<u>Fine</u> Assessed
Azavar Technologies	7/6/2015	\$10000	10/14/2015	65	\$5000

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$5000.00 for the delinquent filing. This total does not reflect any previously assessed fines.

Under the Board's present policy, since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$2500.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 1, 2016 you forfeit the right to contest this assessment.

Since this is a subsequent violation, if the above listed violation is not appealed, the previously stayed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
2015 June	A1	\$1080
TOTAL AMOUNT NOW DUE		\$3580

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director

Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

# STATE OF ILLINOIS COUNTY OF COOK

# BEFORE THE STATE BOARD OF ELECTIONS OF THE STATE OF ILLINOIS

Illinois State Board of Elections,

Complainant

VS.

16 CD 086

Keep Burr Ridge Special,

ID# 29569

Respondent.

#### **REPORT OF HEARING EXAMINER**

This hearing was held as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the March 2015, June 2015, and September 2015 Quarterly Reports of Campaign Contributions and Expenditures.

### **STATE'S EXHIBITS**

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on January 15, 2016, at the Board's Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer's Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

#### **COMPLAINANT'S CASE-IN-CHIEF**

The Respondent filed a Statement of Organization on March 27, 2015 with the purpose of supporting candidates for local elections. The Board's staff has repeatedly contacted the Respondent's officers to no avail, leading to the filing of the instant Complaint.

#### RESPONDENT'S CASE-IN-CHIEF

The Respondent did not appear at the Closed Preliminary Hearing, which led to the order of a Public Hearing in the matter. Prior to the Public Hearing, Antonio Schiappa, Chairman and Treasurer of the Respondent committee, contacted the Board and filed the delinquent reports, rendering a public hearing unnecessary.

### **CONCLUSIONS AND RECOMMENDATIONS**

In light of the Respondent's filing of the March 2015, June 2015, and September 2015 Quarterly Reports, I recommend that the Complaint be dismissed and no further action be taken by the Board other than referral of the matter to appropriate staff for the assessment of civil penalties related to the late filing of the reports.

Bernaddte Harrington - Hearing Officer

April 18, 2016

	ORDER ON COMPLAINT
In the Matter Of: State Board of Elections,  Complainant(s), vs.  Keep Burr Ridge Special,  Respondent(s).	STATE OF ILLINOIS  ) ) ) ) ) 16 CD 086 ) ) )
COUNTY OF SANGAMON )	SS STATE BOARD OF ELECTIONS
STATE OF ILLINOIS )	

TO: Keep Burr Ridge Special 8750 Polo Ridge Ct Burr Ridge, IL 60527 ID# 29569

This matter coming to be heard this 14<sup>th</sup> day of March, 2016 following a Closed Preliminary Hearing of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Respondent failed to file the March, June and September 2015 Quarterly reports of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

#### THE BOARD FINDS:

- 1. That the complaint was filed on justifiable grounds; and
- 2. There was no appearance by the Respondent at the Closed Preliminary Hearing; and
- 3. The reports at issue remain unfiled.

#### IT IS HEREBY ORDERED:

- 1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
- 2. That a Public hearing be conducted in this matter; and
- 3. The effective date of this Order is March 17, 2016.

DATED: 3/17/2016

# STATE OF ILLINOIS COUNTY OF COOK

# BEFORE THE STATE BOARD OF ELECTIONS OF THE STATE OF ILLINOIS

Illinois State Board of Elections,

Complainant

VS.

16 CD 001

Nurses United for PAC.

ID# 723

Respondent.

### REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the March 2015, June 2015, and September 2015 Quarterly Reports of Campaign Contributions and Expenditures.

### **STATE'S EXHIBITS**

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on January 15, 2016, at the Board's Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer's Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

#### **COMPLAINANT'S CASE-IN-CHIEF**

The Respondent filed a Statement of Organization on June 20, 1985 with the purpose of protecting all LPN of the State of Illinois. The Respondent most recently filed the December 2014 Quarterly Report, which shows a positive balance of \$2,227.28. As of the date of this report, the Respondent has not filed any further reports nor has it sought to make the committee inactive. The Board's staff has repeatedly contacted the Respondent's officers to no avail, leading to the filing of the instant Complaint.

#### **RESPONDENT'S CASE-IN-CHIEF**

The Respondent did not appear at the Closed Preliminary Hearing, which led to the order of a Public Hearing in the matter. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

### **CONCLUSIONS AND RECOMMENDATIONS**

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly reports and state further that the failure to file the delinquent reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.

Bernaddte Harrington - Hearing Officer

April 18, 2016

STATE OF ILLINOIS )	CC
COUNTY OF SANGAMON )	SS
	STATE BOARD OF ELECTIONS STATE OF ILLINOIS
In the Matter Of: State Board of Elections,  Complainant(s), vs.	) ) ) ) ) 16 CD 001
Nurses United for PAC,	) )
Respondent(s).	ODDED ON COMPLAINT

#### ORDER ON COMPLAINT

TO: Nurses United for PAC ID# 723 8741 S Greenwood Ave, Ste 207 Chicago, IL 60619

This matter coming to be heard this 14<sup>th</sup> day of March, 2016 following a Closed Preliminary Hearing of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Respondent failed to file the March, June and September 2015 Quarterly reports of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

#### THE BOARD FINDS:

- 1. That the complaint was filed on justifiable grounds; and
- 2. There was no appearance by the Respondent at the Closed Preliminary Hearing; and
- 3. The reports at issue remain unfiled.

#### IT IS HEREBY ORDERED:

- 1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
- 2. That a Public hearing be conducted in this matter; and
- 3. The effective date of this Order is March 17, 2016.

DATED: 3/17/2016

### STATE OF ILLINOIS COUNTY OF COOK

# BEFORE THE STATE BOARD OF ELECTIONS OF THE STATE OF ILLINOIS

Illinois State Board of Elections.

Complainant

VS.

16 CD 004

Citizens for Mike Smith,

ID# 4249

Respondent.

### REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the September 2014, December 2014, March 2015, June 2015, and September 2015 Quarterly Reports of Campaign Contributions and Expenditures.

#### **STATE'S EXHIBITS**

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on January 15, 2016, at the Board's Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer's Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

### **COMPLAINANT'S CASE-IN-CHIEF**

The Respondent filed a Statement of Organization on August 12, 1989 with the purpose to campaign for the election of Michael K. Smith to public office. The Respondent most recently filed the June 2014 Quarterly Report, which shows a positive balance of \$12,485.02. As of the date of this report, the Respondent has not filed any further reports nor has it sought to make the committee inactive. The Board's staff has repeatedly contacted the Respondent's officers to no avail, leading to the filing of the instant Complaint.

#### RESPONDENT'S CASE-IN-CHIEF

The Respondent did not appear at the Closed Preliminary Hearing, which led to the order of a Public Hearing in the matter. The Respondent also failed to appear at the Closed Preliminary

Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

### **CONCLUSIONS AND RECOMMENDATIONS**

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly reports and state further that the failure to file the delinquent reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.

Bernadette Harrington – Hearing Officer April 18, 2016

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STATE OF ILLINOIS	) ) SS
COUNTY OF SANGAMON )	)
	STATE BOARD OF ELECTIONS STATE OF ILLINOIS
In the Matter Of: State Board of Elections,  Complainant(s), vs.  Citizens for Mike Smith,  Respondent(s).	) ) ) ) 16 CD 004 ) ) )
	ORDER ON COMPLAINT
TO: Citizens for Mike Smith	1D# 4249

This matter coming to be heard this 14<sup>th</sup> day of March, 2016 following a Closed Preliminary Hearing of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Respondent failed to file the September and December 2014 and the March, June and September 2015 Quarterly reports of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

### THE BOARD FINDS:

- 1. That the complaint was filed on justifiable grounds; and
- 2. There was no appearance by the Respondent at the Closed Preliminary Hearing; and
- 3. The reports at issue remain unfiled.

### IT IS HEREBY ORDERED:

Canton, IL 61520

- 1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
- 2. That a Public hearing be conducted in this matter; and
- 3. The effective date of this Order is March 17, 2016.

DATED: 3/17/2016

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# BEFORE THE STATE BOARD OF ELECTIONS OF THE STATE OF ILLINOIS

Illinois State Board of Elections.

Complainant

v.

16 CD 010

Citizens for Colvin,

ID# 16242

Respondent.

## **REPORT OF HEARING EXAMINER**

This hearing was held as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file September 2014, December 2014, March 2015, June 2015, and December 2015 Quarterly Reports of Campaign Contributions and Expenditures.

## **STATE'S EXHIBITS**

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on January 15, 2016, at the Board's Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer's Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

#### **COMPLAINANT'S CASE-IN-CHIEF**

The Respondent filed a Statement of Organization on October 4, 2001 with the purpose of retaining and electing Colvin to public office. The Respondent most recently filed the December 2011 Quarterly Report, which shows a positive balance of \$68,502.10. As of the date of this report, the Respondent has not filed any further reports nor has it sought to make the committee inactive. The Board's staff has repeatedly contacted the Respondent's officers to no avail, leading to the filing of the instant Complaint.

### **RESPONDENT'S CASE-IN-CHIEF**

The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly reports and state further that the failure to file the delinquent reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.

Bernadette Harrington – Hearing Officer

Bernaddte Harringt

STATE OF ILLINOIS )	SC	
COUNTY OF SANGAMON )	SS	
	STATE BOARD OF ELECTIONS STATE OF ILLINOIS	
In the Matter Of: State Board of Elections,  Complainant(s), vs.  Citizens for Colvin,  Respondent(s).	) ) ) ) 16 CD 010 ) ) )	
	ORDER ON COMPLAINT	
TO: Citizens for Colvin 8539 S. Cottage Grove	ID# 16242	

This matter coming to be heard this 14<sup>th</sup> day of March, 2016 following a Closed Preliminary Hearing of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Respondent failed to file the September and December 2014 and the March, June and September 2015 Quarterly reports of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

#### THE BOARD FINDS:

- 1. That the complaint was filed on justifiable grounds; and
- 2. There was no appearance by the Respondent at the Closed Preliminary Hearing; and
- 3. The reports at issue remain unfiled.

#### IT IS HEREBY ORDERED:

Chicago, IL 60619

- 1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
- 2. That a Public hearing be conducted in this matter; and
- 3. The effective date of this Order is March 17, 2016.

DATED: 3/17/2016

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## STATE OF ILLINOIS COUNTY OF SANGAMON

# BEFORE THE STATE BOARD OF ELECTIONS OF THE STATE OF ILLINOIS

Illinois State Board of Elections,

Complainant

v.

16 CD 017

Citizens to Elect Alvin Parks Jr.

ID# 19743

Respondent.

## REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the September 2014, December 2014, March 2015, June 2015 and September 2015 Quarterly Reports of Campaign Contributions and Expenditures.

## **STATE'S EXHIBITS**

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on January 15, 2016, at the Board's Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer's Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

### **COMPLAINANT'S CASE-IN-CHIEF**

The Respondent filed a Statement of Organization on June 15, 2006 with the purpose of assisting Alvin Parks Jr. in pursuing elected office, particularly the position of Mayor. The Respondent most recently filed the March 2011 Quarterly Report, which shows a positive balance of \$2,143.21. The Respondent has not filed any further reports nor has it sought to make the committee inactive. The Board's staff has repeatedly contacted the Respondent's officers to no avail, leading to the filing of the instant Complaint.

### **RESPONDENT'S CASE-IN-CHIEF**

The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly reports and state further that the failure to file the delinquent reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.

Bernaddte Harrington - Hearing Officer

STATE OF ILLINOIS )	99
COUNTY OF SANGAMON )	SS
	STATE BOARD OF ELECTIONS STATE OF ILLINOIS
In the Matter Of: State Board of Elections,  Complainant(s), vs.	) ) ) ) ) 16 CD 017
Citizens to Elect Alvin Parks Jr,  Respondent(s).	) )
op	

#### ORDER ON COMPLAINT

TO: Citizens to Elect Alvin Parks Jr

ID# 19743

8922 Woestboul St E St Louis, IL 62203

This matter coming to be heard this 14<sup>th</sup> day of March, 2016 following a Closed Preliminary Hearing of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Respondent failed to file the September and December 2014 and the March, June and September 2015 Quarterly reports of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

#### THE BOARD FINDS:

- 1. That the complaint was filed on justifiable grounds; and
- 2. There was no appearance by the Respondent at the Closed Preliminary Hearing; and
- 3. The reports at issue remain unfiled.

#### IT IS HEREBY ORDERED:

- 1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
- 2. That a Public hearing be conducted in this matter; and
- 3. The effective date of this Order is March 17, 2016.

# BEFORE THE STATE BOARD OF ELECTIONS OF THE STATE OF ILLINOIS

Illinois State Board of Elections,

Complainant

v.

16 CD 021

Citizens for Tyler Smith,

ID# 21819

Respondent.

## **REPORT OF HEARING EXAMINER**

This hearing was held as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file December 2014, March 2015, June 2015, and September 2015 Quarterly Reports of Campaign Contributions and Expenditures.

## **STATE'S EXHIBITS**

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on January 15, 2016, at the Board's Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer's Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

### **COMPLAINANT'S CASE-IN-CHIEF**

The Respondent filed a Statement of Organization on February 24, 2009 with the purpose of supporting candidate Tyler Smith. The Respondent most recently filed the September 2014 Quarterly Report, which shows a positive balance of \$733.13. As of the date of this report, the Respondent has not filed any further reports nor has it sought to make the committee inactive. The Board's staff has repeatedly contacted the Respondent's officers to no avail, leading to the filing of the instant Complaint.

### **RESPONDENT'S CASE-IN-CHIEF**

The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly reports and state further that the failure to file the delinquent reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.

Bernadette Harrington – Hearing Officer

Bernaddte Harringt

STAT	TE OF ILLINOIS )	gg	
COUNTY OF SANGAMON )	SS		
		STATE BOARD OF ELECTION STATE OF ILLINOIS	NS
vs. Citizens for Tyler Smith,	Board of Elections,  Complainant(s), vs.	) ) ) ) 16 CD 021 ) ) )	
		ORDER ON COMPLAINT	,
TO:	Citizens for Tyler Smith 6701 N. Main Street	ID# 21819	

This matter coming to be heard this 14<sup>th</sup> day of March, 2016 following a Closed Preliminary Hearing of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Respondent failed to file the December 2014 and the March, June and September 2015 Quarterly reports of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

#### THE BOARD FINDS:

- 1. That the complaint was filed on justifiable grounds; and
- 2. There was no appearance by the Respondent at the Closed Preliminary Hearing; and
- 3. The reports at issue remain unfiled.

#### IT IS HEREBY ORDERED:

Rockford, IL 61103

- 1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
- 2. That a Public hearing be conducted in this matter; and
- 3. The effective date of this Order is March 17, 2016.

# BEFORE THE STATE BOARD OF ELECTIONS OF THE STATE OF ILLINOIS

Illinois State Board of Elections,

Complainant

VS.

16 CD 024

Friends of Frank McPartlin.

ID# 22548

Respondent.

## **REPORT OF HEARING EXAMINER**

This hearing was held as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the September 2014, December 2014, March 2015, June 2015, and September 2015 Quarterly Reports of Campaign Contributions and Expenditures.

## **STATE'S EXHIBITS**

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on January 15, 2016, at the Board's Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer's Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

### **COMPLAINANT'S CASE-IN-CHIEF**

The Respondent filed a Statement of Organization on February 24, 2014 with the purpose to elect Frank McPartlin for Cook County Commissioner. The Respondent most recently filed the June 2014 Quarterly Report, which shows a positive balance of \$292.62. As of the date of this report, the Respondent has not filed any further reports nor has it sought to make the committee inactive. The Board's staff has repeatedly contacted the Respondent's officers to no avail, leading to the filing of the instant Complaint.

#### **RESPONDENT'S CASE-IN-CHIEF**

The Respondent did not appear at the Closed Preliminary Hearing, which led to the order of a Public Hearing in the matter. The Respondent also failed to appear at the Closed Preliminary

Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

## **CONCLUSIONS AND RECOMMENDATIONS**

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly reports and state further that the failure to file the delinquent reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.

Bernadette Harrington – Hearing Officer

Bernaddte Harringt

STATE OF ILLINOIS )	SS
COUNTY OF SANGAMON )	
	STATE BOARD OF ELECTIONS STATE OF ILLINOIS
In the Matter Of: State Board of Elections,  Complainant(s), vs.	) ) ) ) ) 16 CD 024
Friends of Frank McPartlin,	)
Respondent(s).	)

#### ORDER ON COMPLAINT

TO: Friends of Frank McPartlin 7840 W. Sunset Drive Elmwood Park, IL 60707 ID# 22548

This matter coming to be heard this 14<sup>th</sup> day of March, 2016 following a Closed Preliminary Hearing of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Respondent failed to file the September and December 2014 and the March, June and September 2015 Quarterly reports of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

#### THE BOARD FINDS:

CTATE OF HANDIC

- 1. That the complaint was filed on justifiable grounds; and
- 2. There was no appearance by the Respondent at the Closed Preliminary Hearing; and
- 3. The reports at issue remain unfiled.

### IT IS HEREBY ORDERED:

- 1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
- 2. That a Public hearing be conducted in this matter; and
- 3. The effective date of this Order is March 17, 2016.

DATED: 3/17/2016

harles W. Selolz, Chairman

## STATE OF ILLINOIS COUNTY OF SANGAMON

# BEFORE THE STATE BOARD OF ELECTIONS OF THE STATE OF ILLINOIS

Illinois State Board of Elections,

Complainant

v. Friends of David Moore 16 CD 035

ID# 24472

Respondent

#### REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the March 2015, June 2015, and September 2015 Quarterly Reports of Campaign Contributions and Expenditures.

#### **STATE'S EXHIBITS**

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on January 15, 2016, at the Board's Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer's Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

#### **COMPLAINANT'S CASE-IN-CHIEF**

The Respondent filed a Statement of Organization on August 12, 2012, with the purpose of supporting the candidacy of David Moore in pursuing elected office, particularly the position of Township Supervisor. The Respondent most recently filed the March 2014 Quarterly Report, which shows a balance of \$0.00. The Respondent has not filed any further reports nor has it sought to make the committee inactive. The Board's staff has repeatedly contacted the Respondent's officers to no avail, leading to the filing of the instant Complaint.

#### **RESPONDENT'S CASE-IN-CHIEF**

The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly reports and state further that the failure to file the delinquent reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.

John Levin – Hearing Officer

April 28, 2016

STATE OF ILLINOIS )	aa
COUNTY OF COOK )	SS
	STATE BOARD OF ELECTIONS STATE OF ILLINOIS
In the Matter Of: State Board of Elections,  Complainant(s), vs.  Friends of David Moore,  Respondent(s).	) ) ) ) ) 16 CD 035 ) ) )
	ORDER ON COMPLAINT
TO: Friends of David Moore	ID# 24472

TO: Friends of David Moore

1501 Renoir Avenue Swansea, IL 62226

This matter coming to be heard this 15<sup>th</sup> day April, 2016 following a Closed Preliminary Hearing of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 et seq., herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Committee failed to file the March, June and September 2015 Quarterly reports of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

#### THE BOARD FINDS:

- 1. That the complaint was filed on justifiable grounds; and
- 2. There was no appearance by the Respondent at the Closed Preliminary Hearing; and
- 3. The reports at issue remain unfiled.

#### IT IS HEREBY ORDERED:

- 1. The recommendations of the Hearing Officer and the General Counsel are adopted; and
- 2. That a Public hearing be conducted in this matter; and
- 3. The effective date of this Order is April 19, 2016.

DATED: 4/19/2016

Charles W. Scholz, Chairman

# BEFORE THE STATE BOARD OF ELECTIONS OF THE STATE OF ILLINOIS

Illinois State Board of Elections,

Complainant

VS.

16 CD 036

Friends for Strzelczyk,

ID# 24680

Respondent.

### **REPORT OF HEARING EXAMINER**

This hearing was held as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the March 2015, June 2015, and September 2015 Quarterly Reports of Campaign Contributions and Expenditures.

## **STATE'S EXHIBITS**

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on January 15, 2016, at the Board's Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer's Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

### **COMPLAINANT'S CASE-IN-CHIEF**

The Respondent filed a Statement of Organization on November 2, 2012 with the purpose of campaign fundraising. The Respondent most recently filed the December 2014 Quarterly Report, which shows a positive balance of \$285.36. As of the date of this report, the Respondent has not filed any further reports nor has it sought to make the committee inactive. The Board's staff has repeatedly contacted the Respondent's officers to no avail, leading to the filing of the instant Complaint.

### **RESPONDENT'S CASE-IN-CHIEF**

The Respondent did not appear at the Closed Preliminary Hearing, which led to the order of a Public Hearing in the matter. The Respondent also failed to appear at the Closed Preliminary

Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

## **CONCLUSIONS AND RECOMMENDATIONS**

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly reports and state further that the failure to file the delinquent reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.

Bernaddte Harrington - Hearing Officer

STAT	E OF ILLINOIS )	GG
COUN	TY OF SANGAMON )	SS
		STATE BOARD OF ELECTIONS STATE OF ILLINOIS
State F	Matter Of: Board of Elections,  Complainant(s), vs.  s for Strzelczyk,  Respondent(s).	) ) ) ) 16 CD 036 ) ) )
		ORDER ON COMPLAINT
TO:	Friends for Strzelczyk 16636 W. Merc Lane	ID# 24680

This matter coming to be heard this 14<sup>th</sup> day of March, 2016 following a Closed Preliminary Hearing of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Respondent failed to file the March, June and September 2015 Quarterly reports of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

#### THE BOARD FINDS:

- 1. That the complaint was filed on justifiable grounds; and
- 2. There was no appearance by the Respondent at the Closed Preliminary Hearing; and
- 3. The reports at issue remain unfiled.

#### IT IS HEREBY ORDERED:

Lockport, IL 60441

- 1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
- 2. That a Public hearing be conducted in this matter; and
- 3. The effective date of this Order is March 17, 2016.

# BEFORE THE STATE BOARD OF ELECTIONS OF THE STATE OF ILLINOIS

Illinois State Board of Elections,

Complainant

v.

16 CD 038

United Party,

ID# 24735

Respondent.

## **REPORT OF HEARING EXAMINER**

This hearing was held as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the March 2015, June 2015, and September 2015 Quarterly Reports of Campaign Contributions and Expenditures.

### **STATE'S EXHIBITS**

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on January 15, 2016, at the Board's Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer's Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

#### **COMPLAINANT'S CASE-IN-CHIEF**

The Respondent filed a Statement of Organization on December 3, 2012 with the purpose of supporting candidates of the United Party running for offices in Summit, IL. The Respondent most recently filed the June 2014 Quarterly Report, which shows a positive balance of \$2,434.00. The Respondent has not filed any further reports nor has it sought to make the committee inactive. The Board's staff has repeatedly contacted the Respondent's officers to no avail, leading to the filing of the instant Complaint.

### RESPONDENT'S CASE-IN-CHIEF

The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

Based on the Respondent's failure to file the required reports, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly reports and state further that the failure to file the delinquent reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.

Bernaddte Harrington – Hearing Officer April 18, 2016

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STATE OF ILLINOIS	SS
COUNTY OF SANGAMON )	
	STATE BOARD OF ELECTIONS STATE OF ILLINOIS
In the Matter Of: State Board of Elections,  Complainant(s), vs.	) ) ) ) ) 16 CD 038
United Party,  Respondent(s).	) ) )

#### ORDER ON COMPLAINT

TO: United Party ID# 24735 6145 S. 75<sup>th</sup> Ave Summit, IL 60501

This matter coming to be heard this 14<sup>th</sup> day of March, 2016 following a Closed Preliminary Hearing of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Respondent failed to file the March, June and September 2015 Quarterly reports of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

### THE BOARD FINDS:

CTATE OF HANDIC

- 1. That the complaint was filed on justifiable grounds; and
- 2. There was no appearance by the Respondent at the Closed Preliminary Hearing; and
- 3. The reports at issue remain unfiled.

#### IT IS HEREBY ORDERED:

- 1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
- 2. That a Public hearing be conducted in this matter; and
- 3. The effective date of this Order is March 17, 2016.

# BEFORE THE STATE BOARD OF ELECTIONS OF THE STATE OF ILLINOIS

Illinois State Board of Elections.

Complainant

VS.

16 CD 049

Citizens to Elect Frazier Garner.

ID# 25549

Respondent.

### **REPORT OF HEARING EXAMINER**

This hearing was held as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the September 2014, December 2014, March 2015, June 2015, and September 2015 Quarterly Reports of Campaign Contributions and Expenditures.

## **STATE'S EXHIBITS**

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on January 15, 2016, at the Board's Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer's Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

### **COMPLAINANT'S CASE-IN-CHIEF**

The Respondent filed a Statement of Organization on September 16, 2013 with the purpose to elect Frazier Garner. The Respondent most recently filed the March 2014 Quarterly Report, which shows a negative balance of \$113.03. As of the date of this report, the Respondent has not filed any further reports nor has it sought to make the committee inactive. The Board's staff has repeatedly contacted the Respondent's officers to no avail, leading to the filing of the instant Complaint.

#### RESPONDENT'S CASE-IN-CHIEF

The Respondent did not appear at the Closed Preliminary Hearing, which led to the order of a Public Hearing in the matter. The Respondent also failed to appear at the Closed Preliminary

Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

## **CONCLUSIONS AND RECOMMENDATIONS**

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly reports and state further that the failure to file the delinquent reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.

Bernaddte Harrington - Hearing Officer

STATE OF ILLINOIS )	SS
COUNTY OF SANGAMON )	33
	STATE BOARD OF ELECTIONS STATE OF ILLINOIS
In the Matter Of: State Board of Elections,  Complainant(s), vs.  Citizens to Elect Frazier Garner,  Respondent(s).	) ) ) ) 16 CD 049 ) ) )

#### ORDER ON COMPLAINT

TO: Citizens to Elect Frazier Garner

ID# 25549

PO Box 940

East St. Louis, IL 62203

This matter coming to be heard this 14<sup>th</sup> day of March, 2016 following a Closed Preliminary Hearing of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Respondent failed to file the September and December 2014 and the March, June and September 2015 Quarterly reports of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

#### THE BOARD FINDS:

- 1. That the complaint was filed on justifiable grounds; and
- 2. There was no appearance by the Respondent at the Closed Preliminary Hearing; and
- 3. The reports at issue remain unfiled.

#### IT IS HEREBY ORDERED:

- 1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
- 2. That a Public hearing be conducted in this matter; and
- 3. The effective date of this Order is March 17, 2016.

# BEFORE THE STATE BOARD OF ELECTIONS OF THE STATE OF ILLINOIS

Illinois State Board of Elections,

Complainant

VS.

16 CD 053

Friends of Amara Enyia,

ID# 25819

Respondent.

## REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the September 2015 Quarterly Report of Campaign Contributions and Expenditures.

### **STATE'S EXHIBITS**

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on January 15, 2016, at the Board's Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer's Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

### **COMPLAINANT'S CASE-IN-CHIEF**

The Respondent filed a Statement of Organization on February 19, 2014 with the purpose of supporting Amara Enyia to run for the elected office of Mayor of the City of Chicago. The Respondent most recently filed the June 2015 Quarterly Report, which shows a positive balance of \$2,674.25. As of the date of this report, the Respondent has not filed any further reports nor has it sought to make the committee inactive. The Board's staff has repeatedly contacted the Respondent's officers to no avail, leading to the filing of the instant Complaint.

### **RESPONDENT'S CASE-IN-CHIEF**

The Respondent did not appear at the Closed Preliminary Hearing, which led to the order of a Public Hearing in the matter. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required report.

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly report and state further that the failure to file the delinquent report within 30 days of the issuance of the order shall result in a fine up to \$5,000.

Bernaddte Harrington - Hearing Officer

STAT	TE OF ILLINOIS )	gg
COU	NTY OF SANGAMON )	SS
		STATE BOARD OF ELECTIONS STATE OF ILLINOIS
State	Matter Of: Board of Elections,  Complainant(s), vs.  ds of Amara Enyia,  Respondent(s).	) ) ) ) 16 CD 053 ) ) )
		ORDER ON COMPLAINT
TO:	Friends of Amara Enyia 728 S. Oakley Blvd	ID# 25819

This matter coming to be heard this 14<sup>th</sup> day of March, 2016 following a Closed Preliminary Hearing of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Respondent failed to file the September 2015 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

#### THE BOARD FINDS:

- 1. That the complaint was filed on justifiable grounds; and
- 2. There was no appearance by the Respondent at the Closed Preliminary Hearing; and
- 3. The report at issue remains unfiled.

#### IT IS HEREBY ORDERED:

Chicago, IL 60612

- 1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
- 2. That a Public hearing be conducted in this matter; and
- 3. The effective date of this Order is March 17, 2016.

# BEFORE THE STATE BOARD OF ELECTIONS OF THE STATE OF ILLINOIS

Illinois State Board of Elections,

Complainant

VS.

16 CD 065

Nonprofit VOTE IL,

ID# 26179

Respondent.

## REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the September 2015 Quarterly Report of Campaign Contributions and Expenditures.

## **STATE'S EXHIBITS**

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on January 15, 2016, at the Board's Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer's Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

### **COMPLAINANT'S CASE-IN-CHIEF**

The Respondent filed a Statement of Organization on October 15, 2014 with the purpose of conducting research related to nonpartisan voter participation. The Respondent most recently filed the June 2015 Quarterly Report, which shows a zero balance. As of the date of this report, the Respondent has filed a final report on February 16, 2016 but has not filed the September 2015 Quarterly Report. The Board's staff has repeatedly contacted the Respondent's officers to no avail, leading to the filing of the instant Complaint.

## **RESPONDENT'S CASE-IN-CHIEF**

The Respondent did not appear at the Closed Preliminary Hearing, which led to the order of a Public Hearing in the matter. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required report.

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly report and state further that the failure to file the delinquent report within 30 days of the issuance of the order shall result in a fine up to \$5,000.

Bernaddte Harrington - Hearing Officer

STATE OF ILLINOIS )	99	
COUNTY OF SANGAMON )	SS	
	STATE BOARD OF ELECTIONS STATE OF ILLINOIS	
In the Matter Of: State Board of Elections,  Complainant(s), vs.  Nonprofit VOTE IL,  Respondent(s).	) ) ) ) 16 CD 065 ) ) )	
	ORDER ON COMPLAINT	
TO: Nonprofit VOTE IL 89 South St, Ste 203	ID# 26179	

This matter coming to be heard this 14<sup>th</sup> day of March, 2016 following a Closed Preliminary Hearing of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Respondent failed to file the September 2015 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

#### THE BOARD FINDS:

- 1. That the complaint was filed on justifiable grounds; and
- 2. There was no appearance by the Respondent at the Closed Preliminary Hearing; and
- 3. The report at issue remains unfiled.

#### IT IS HEREBY ORDERED:

Boston, MA 02111

- 1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
- 2. That a Public hearing be conducted in this matter; and
- 3. The effective date of this Order is March 17, 2016.

# BEFORE THE STATE BOARD OF ELECTIONS OF THE STATE OF ILLINOIS

Illinois State Board of Elections,

Complainant

VS.

16 CD 070

Land of Reagan,

ID# 27257

Respondent.

## REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the September 2015 Quarterly Report of Campaign Contributions and Expenditures.

## **STATE'S EXHIBITS**

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on January 15, 2016, at the Board's Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer's Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

#### **COMPLAINANT'S CASE-IN-CHIEF**

The Respondent filed a Statement of Organization on November 21, 2014 with the purpose of improving voter turnout in primary and general elections. The Respondent most recently filed the June 2015 Quarterly Report, which shows a positive balance of \$10,000.20. As of the date of this report, the Respondent has not filed any further reports nor has it sought to make the committee inactive. The Board's staff has repeatedly contacted the Respondent's officers to no avail, leading to the filing of the instant Complaint.

## **RESPONDENT'S CASE-IN-CHIEF**

The Respondent did not appear at the Closed Preliminary Hearing, which led to the order of a Public Hearing in the matter. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required report.

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly report and state further that the failure to file the delinquent report within 30 days of the issuance of the order shall result in a fine up to \$5,000.

Bernadette Harrington - Hearing Officer

STATE OF ILLINOIS )	SS
COUNTY OF SANGAMON )	55
	STATE BOARD OF ELECTIONS STATE OF ILLINOIS
In the Matter Of: State Board of Elections,  Complainant(s), vs.  Land of Reagan,  Respondent(s).	) ) ) ) 16 CD 070 ) ) )
	ORDER ON COMPLAINT
TO: Land of Reagan	ID# 27257

This matter coming to be heard this 14<sup>th</sup> day of March, 2016 following a Closed Preliminary Hearing of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Respondent failed to file the September 2015 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

#### THE BOARD FINDS:

PO Box 439

Fox River Grove, IL 60021

- 1. That the complaint was filed on justifiable grounds; and
- 2. There was no appearance by the Respondent at the Closed Preliminary Hearing; and
- 3. The report at issue remains unfiled.

### IT IS HEREBY ORDERED:

- 1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
- 2. That a Public hearing be conducted in this matter; and
- 3. The effective date of this Order is March 17, 2016.

# BEFORE THE STATE BOARD OF ELECTIONS OF THE STATE OF ILLINOIS

Illinois State Board of Elections,

Complainant

VS.

16 CD 073

Citizens to Elect LaCoulton J Walls,

ID# 27321

Respondent.

## REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the March 2015, June 2015, and September 2015 Quarterly Reports of Campaign Contributions and Expenditures.

## **STATE'S EXHIBITS**

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on January 15, 2016, at the Board's Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer's Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

### **COMPLAINANT'S CASE-IN-CHIEF**

The Respondent filed a Statement of Organization on January 13, 2015 with the purpose of campaigning for Alderman of the 29th Ward. As of the date of this report, the Respondent has not filed any further reports nor has it sought to make the committee inactive. The Board's staff has repeatedly contacted the Respondent's officers to no avail, leading to the filing of the instant Complaint.

### **RESPONDENT'S CASE-IN-CHIEF**

The Respondent did not appear at the Closed Preliminary Hearing, which led to the order of a Public Hearing in the matter. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly reports and state further that the failure to file the delinquent reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.

Bernadette Harrington - Hearing Officer

STATE OF ILLINOIS )	99
COUNTY OF SANGAMON )	SS
	STATE BOARD OF ELECTIONS STATE OF ILLINOIS
In the Matter Of:	)
State Board of Elections,	
Complainant(s),	)
VS.	) 16 CD 073
Citizens to Elect LaCoulton J Wa	alls, )
Respondent(s).	)

#### **ORDER ON COMPLAINT**

TO: Citizens to Elect LaCoulton J Walls

ID# 27321

5904 W. Madison Chicago, IL 60644

This matter coming to be heard this 14<sup>th</sup> day of March, 2016 following a Closed Preliminary Hearing of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Respondent failed to file the March, June and September 2015 Quarterly reports of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

#### THE BOARD FINDS:

- 1. That the complaint was filed on justifiable grounds; and
- 2. There was no appearance by the Respondent at the Closed Preliminary Hearing; and
- 3. The reports at issue remain unfiled.

#### IT IS HEREBY ORDERED:

- 1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
- 2. That a Public hearing be conducted in this matter; and
- 3. The effective date of this Order is March 17, 2016.

DATED: 3/17/2016

#### STATE OF ILLINOIS COUNTY OF COOK

# BEFORE THE STATE BOARD OF ELECTIONS OF THE STATE OF ILLINOIS

Illinois State Board of Elections,

Complainant

VŚ.

16 CD 074

Citizens for Anthony L McCaskill,

ID# 27355

Respondent.

#### REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the March 2015, June 2015, and September 2015 Quarterly Reports of Campaign Contributions and Expenditures.

#### **STATE'S EXHIBITS**

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on January 15, 2016, at the Board's Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer's Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

#### **COMPLAINANT'S CASE-IN-CHIEF**

The Respondent filed a Statement of Organization on January 23, 2015 with the purpose of electing Anthony L. McCaskill. As of the date of this report, the Respondent has filed two A-1 Reports showing the receipt of \$2,000.00 and \$1,000.00. The Board's staff has repeatedly contacted the Respondent's officers to no avail, leading to the filing of the instant Complaint.

#### **RESPONDENT'S CASE-IN-CHIEF**

The Respondent did not appear at the Closed Preliminary Hearing, which led to the order of a Public Hearing in the matter. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

#### **CONCLUSIONS AND RECOMMENDATIONS**

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly reports and state further that the failure to file the delinquent reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.

Bernaddte Harrington - Hearing Officer

April 18, 2016

STATE OF ILLINOIS )	SS
COUNTY OF SANGAMON )	55
	STATE BOARD OF ELECTIONS STATE OF ILLINOIS
In the Matter Of: State Board of Elections,  Complainant(s), vs.	) ) ) ) 16 CD 074
Citizens for Anthony L McCaski Respondent(s).	) ill, ) )

#### ORDER ON COMPLAINT

TO: Citizens for Anthony L McCaskill

ID# 27355

14303 Park Ave Harvey, IL 60426

This matter coming to be heard this 14<sup>th</sup> day of March, 2016 following a Closed Preliminary Hearing of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Respondent failed to file the March, June and September 2015 Quarterly reports of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

#### THE BOARD FINDS:

- 1. That the complaint was filed on justifiable grounds; and
- 2. There was no appearance by the Respondent at the Closed Preliminary Hearing; and
- 3. The reports at issue remain unfiled.

#### IT IS HEREBY ORDERED:

- 1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
- 2. That a Public hearing be conducted in this matter; and
- 3. The effective date of this Order is March 17, 2016.

DATED: 3/17/2016

#### STATE OF ILLINOIS COUNTY OF COOK

### BEFORE THE STATE BOARD OF ELECTIONS OF THE STATE OF ILLINOIS

Illinois State Board of Elections,

Complainant

VS.

16 CD 076

Stars Committee,

ID# 27359

Respondent.

#### REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the March 2015, June 2015, and September 2015 Quarterly Reports of Campaign Contributions and Expenditures.

#### **STATE'S EXHIBITS**

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on January 15, 2016, at the Board's Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer's Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

#### **COMPLAINANT'S CASE-IN-CHIEF**

The Respondent filed a Statement of Organization on January 23, 2015 with the purpose of promoting educational intergrity within the high school system by supporting qualified high school board candidates. As of the date of this report, the Respondent has not filed any further reports nor has it sought to make the committee inactive. The Board's staff has repeatedly contacted the Respondent's officers to no avail, leading to the filing of the instant Complaint.

#### **RESPONDENT'S CASE-IN-CHIEF**

The Respondent did not appear at the Closed Preliminary Hearing, which led to the order of a Public Hearing in the matter. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

#### **CONCLUSIONS AND RECOMMENDATIONS**

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly reports and state further that the failure to file the delinquent reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.

Bernadette Harrington – Hearing Officer

April 18, 2016

STATE OF ILLINOIS )	SS
COUNTY OF SANGAMON )	33
	STATE BOARD OF ELECTIONS STATE OF ILLINOIS
In the Matter Of: State Board of Elections,  Complainant(s), vs.  Stars Committee,  Respondent(s).	) ) ) ) 16 CD 076 ) ) )
	ORDER ON COMPLAINT
TO: Stars Committee 3752 Harvey Ave	ID# 27359

This matter coming to be heard this 14<sup>th</sup> day of March, 2016 following a Closed Preliminary Hearing of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Respondent failed to file the March, June and September 2015 Quarterly reports of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

#### THE BOARD FINDS:

- 1. That the complaint was filed on justifiable grounds; and
- 2. There was no appearance by the Respondent at the Closed Preliminary Hearing; and
- 3. The reports at issue remain unfiled.

#### IT IS HEREBY ORDERED:

Berwyn, IL 60402

- 1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
- 2. That a Public hearing be conducted in this matter; and
- 3. The effective date of this Order is March 17, 2016.

DATED: 3/17/2016

### STATE OF ILLINOIS COUNTY OF COOK

### BEFORE THE STATE BOARD OF ELECTIONS OF THE STATE OF ILLINOIS

Illinois State Board of Elections.

Complainant

VS.

16 CD 081

Friends of Darren Tillis.

ID# 27418

Respondent.

#### REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the September 2015 Quarterly Report of Campaign Contributions and Expenditures.

#### **STATE'S EXHIBITS**

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on January 15, 2016, at the Board's Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer's Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

#### **COMPLAINANT'S CASE-IN-CHIEF**

The Respondent filed a Statement of Organization on February 5, 2015. The Respondent most recently filed the June 2015 Quarterly Report, which shows a positive balance of \$ 1,729.29. As of the date of this report, the Respondent has not filed any further reports nor has it sought to make the committee inactive. The Board's staff has repeatedly contacted the Respondent's officers to no avail, leading to the filing of the instant Complaint.

#### **RESPONDENT'S CASE-IN-CHIEF**

The Respondent did not appear at the Closed Preliminary Hearing, which led to the order of a Public Hearing in the matter. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required report.

#### **CONCLUSIONS AND RECOMMENDATIONS**

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly report and state further that the failure to file the delinquent report within 30 days of the issuance of the order shall result in a fine up to \$5,000.

Bernadette Harrington - Hearing Officer

April 18, 2016

COUNTY OF SANGAMON )	SS
	STATE BOARD OF ELECTIONS STATE OF ILLINOIS
In the Matter Of:	
State Board of Elections,	)
~	)
Complainant(s),	)
VS.	) 16 CD 081
	)
Friends of Darren Tillis,	. )
	),
Respondent(s).	)

#### **ORDER ON COMPLAINT**

TO: Friends of Darren Tillis ID# 27418 3830 W. Roosevelt Rd, 2<sup>nd</sup> Floor Chicago, IL 60624

This matter coming to be heard this 14<sup>th</sup> day of March, 2016 following a Closed Preliminary Hearing of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Respondent failed to file the September 2015 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

#### THE BOARD FINDS:

CTATE OF HINDIC

- 1. That the complaint was filed on justifiable grounds; and
- 2. There was no appearance by the Respondent at the Closed Preliminary Hearing; and
- 3. The report at issue remains unfiled.

#### IT IS HEREBY ORDERED:

- 1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
- 2. That a Public hearing be conducted in this matter; and
- 3. The effective date of this Order is March 17, 2016.

DATED: 3/17/2016

Charles W. Scholz, Chairman

2329 South MacArthur Blvd. Springfield, Illinois 62704 217/782-4141

#### Tom Newman

#### **Director**, Division of Campaign Disclosure

To: Members of the Board, Steven S Sandvoss, Executive Director & Ken Menzel, General Counsel

Re: Settlement Offer Form

Date: May 3, 2016

As per the Board's direction, I have prepared a form to be used by political committees making a settlement offer for outstanding assessed fines. The proposed form requires the committee to provide the necessary information to demonstrate the settlement being offered meets the guidelines set forth in the Board's settlement offer policy. This includes balances and receipts from the committee's 4 most recent quarterly reports, and also requires the committee to perform calculations to determine averages and ratios regarding the offer.

In addition to the paper form included with this memo, an Excel-based form has also been created which only requires the committee to input its information – all calculations are then performed automatically. We are also working on a similar .pdf form. One or both of these could be made available on the Board's website once they are finalized.

I welcome any questions or suggestions from the Board.



# Proposed Settlement Offer for Assessed Fines

Committee Name:			ID#		
Unpaid Fine Total: \$		(F)			
Settlement Offer: \$_		(S)			
			Offer equals $(S \div F) x$	% of Fine To	otal
Information from Cor	nmittee's 4 most	recent Quart	erly Reports:		
Ending Balance:	\$	<u>(</u> B1)	Total Receipts:	\$	_(R1)
	\$	(B2)		\$	_(R2)
	\$	(B3)		\$	_(R3)
	\$	<u>(</u> B4)		\$	_(R4)
Avg. Ending Balance:	:\$ (B1+B2+B3+B4) =	(AB) - 4	Avg. Total Receipts:	\$	_(AR) ÷ 4
Ratio of fine/balance:	(F ÷ AB) x 100	<mark>%</mark> 0	Ratio of fine/receipts:	$(F \div AR) \times 100$	_%
Additional informati	ion or special circ	cumstances:			
declare that this settlement offe	er has been examined by n	Verificatione and to the best o	on f my knowledge and belief is true,	accurate and complete.	
Signature of Committee'	s Chairman, Treas	urer or Candio	date	Date	
Return to: State Ro	oard of Elections				

PAGE 1 of 2 118 fax to: 217-782-5959

2329 S. MacArthur Blvd.

Springfield, IL 62704-4503

# INSTRUCTIONS FOR COMPLETION OF THIS SETTLEMENT OFFER FORM

Please read the Board settlement offer policy before completing this form. Failure to fill out this form completely before submitting it may result in the settlement offer being rejected. Be aware that even if all required information is provided and meets the policy guidelines, the Board is under no legal obligation to accept a settlement offer.

- 1. Print the full name and political committee ID number of the committee requesting the settlement.
- 2. Enter the total dollar amount (on Line F) of all outstanding fines assessed against the committee. This total should include any and all assessed fines that have not been paid.
- 3. Enter the dollar amount (on Line S) being offered by the committee to settle the outstanding fines entered on Line F.
- 4. Calculate and list the percentage of the outstanding fines that the settlement offer represents. This is determined by dividing the settlement offer (Line S) by the unpaid fine total (Line F) and then multiplying the result by 100. When listing the percentage, please round to the nearest whole number.

For the next section, it will be necessary to gather information from the Quarterly Reports filed by the Committee for the four most recent quarters (one year total). If amended reports have been filed, please use the most up-to-date information.

- 5. On Lines B1, B2, B3 and B4, enter the ending balance from the Quarterly Reports filed by the Committee for the four most recent quarters. If the Committee has not been in existence long enough to file four Quarterly Reports, enter the information from as many Quarterly Reports as have been filed by the Committee. Calculate the average ending balance by adding the amounts entered and dividing by the number of quarters for which information has been entered. List the average ending balance on Line AB.
- 6. On Lines R1, R2, R3 and R4, enter the total receipts from the Quarterly Reports filed by the Committee for the four most recent quarters. If the Committee has not been in existence long enough to file four Quarterly Reports, enter the information from as many Quarterly Reports as have been filed by the Committee. Calculate the average total receipts by adding the amounts entered and dividing by the number of quarters for which information has been entered. List the average total receipts on Line AR.
- 7. Calculate the ratio of fine to average balance by dividing the unpaid fine total (Line F) by the average ending balance (Line AB) and then multiplying the result by 100. When listing the percentage, please round to the nearest whole number.
- 8. Calculate the ratio of fine to average total receipts by dividing the unpaid fine total (Line F) by the average total receipts (Line AR) and then multiplying the result by 100. When listing the percentage, please round to the nearest whole number.
- 9. If there are special circumstances or additional information you would like the Board to be aware of when considering this settlement offer, please briefly list them in the box provided.
- 10. The form must be signed and dated by the Committee's Chairman, Treasurer or Candidate.



### **Board Settlement Offer Policy**

Settlement offers should be limited to those committees for whom the fine represents an undue hardship or who otherwise lack the funds or the ability to raise funds to pay the fine. The committee must be able to explain or demonstrate why they are unable to raise enough funds to pay the total fine.

Generally, a settlement offer should only be considered if the ratio of the fine to the committee's average fund balance or average total cash receipts, whichever is larger, on its 4 most recent Quarterly Reports is greater than 50%. Contributions on Schedule A-1 reports filed since the last Quarterly Report may be considered in this calculation, as may funds in other political committees, including federal committees, controlled by the candidate or committee requesting the settlement. A review of the fine-to-fund balance ratio may not be applicable if the requesting committee has filed a Final Report.

Settlement offers should, in most cases, not be accepted if the offered amount is less than 50% of the unpaid fine total; nor if the total penalty owed is less than \$500.

However, if the committee's average fund balance (see above) is \$1,000 or less:

- 1) if the total penalty owed is less than \$500, a settlement offer of at least 50% of the unpaid fine total may be acceptable; and
- 2) if the unpaid fine total exceeds the committee's average fund balance, a settlement offer of at least 50% of the committee's current fund balance may be acceptable.

Consideration may also be given to special circumstances, such as if a committee is fined for a late A-1 comprised of contributions or loans from the candidate to his/her own committee, or money transfers from a local chapter of a labor organization to its State organization. In addition, the Board may take into account the type of contribution at issue. For instance, a cash contribution being a liquid asset, makes it easier for the committee to pay an assessment vs. an in-kind donation in the form of goods or services. However, the value of an in-kind contribution shall be considered as well in terms of accepting a settlement offer.

The Committee's past filing history may also be considered as part of this determination.

There shall be no acceptance of a settlement for fines resulting from a Board finding of willfulness in connection with the delinquent filing.

The Board reserves the right to deviate from these guidelines regarding any offer, if the Board determines that the circumstances warrant such deviation.

Revised 4/16

2329 South MacArthur Blvd. Springfield, Illinois 62704 217/782-4141

#### Tom Newman

#### **Director**, Division of Campaign Disclosure

To: Members of the Board, Steven S. Sandvoss, Executive Director & Ken Menzel, General Counsel

Re: Random Audits

Date: May 3, 2016

10 ILCS 5/9-13(c) requires the Board each year to randomly order up to 3% of registered political committees to conduct internal audits of their accounts. The Board this year approved a 2% figure to be used for the selection, and that random selection was performed on May 2. In all, 74 committees were selected, but two of those committees were exempted from this year's list because they had already been subjected to a random audit within the last five years.

Notices have been sent out to the 72 committees remaining on the audit list, informing them of the selection and their requirements under the law. The audits must cover the two year period immediately preceding the selection date, May 2, 2014 through May 2, 2016. Selected committees have until July 2 to submit a certified copy of their audit to the Board.

A brief review of the randomly-selected committees finds that the list consists of 36 candidate committees, 25 political action committees, 10 party committees and 1 independent expenditure committee. Of those committees selected, 4 have made no filings with the Board during the past two years; 32 committees had both average balances and average total receipts below \$5,000; the remaining 36 committees had either average balances or average receipts above \$5,000.

A complete list of the selected committees and the financial breakdown is available to Board members wishing to review it, but cannot be included as part of the public agenda due to the prohibition in 10 ILCS 5/9-13(e) barring the Board from disclosing the names of any committee selected for audit.

#### 2329 S. MacArthur Blvd Springfield, Illinois 62708 217/782-4141

#### Tom Newman

#### **Director, Campaign Disclosure Division**

To: Steven S. Sandvoss, Executive Director, Members of the Board

Re: Payment of Civil Penalty Assessments - Informational

Date: May 4, 2016

The following committees have made payment of outstanding civil penalties for the period 4/06/2016 - 5/04/2016

- Citizens for Beth Akeson \$175.00
- West Central Labor Council \$400.00
- Citizens for David James Howard \$3075.00
- York Township Republican Committee \$150.00
- Friends to Elect Dr. Julie Cosimo \$500.00
- Citizens for Lechowicz \$450.00
- Citizens for the Future of Winfield \$2276.00
- Friends for Cesar A Santoy \$2375.00
- Mercer County Democratic Central Committee \$100.00
- Nameoki Township Democratic Committeemen \$750.00

TOTAL: \$10,251.00

#### **Monthly Total:**

1/1/16 to 2/4/16:\$42,670.82 2/5/16 to 3/2/16: \$8,122.91 3/3/16 to 4/5/16: \$36,337.00 4/6/16 to 5/4/16: \$10,251.00

Year to Date Total: \$97,381.73

**MEMORANDUM** 

From the desk of: Steven S. Sandvoss, Executive Director

**TO:** Members of the SBE Advisory Committee

**SUBJECT:** Meeting

**DATE:** May 6, 2016

Attached you will find an agenda for the meeting of the SBE Advisory Committee on Monday, May 16, 2016 scheduled to begin at **9:00 a.m**. in our Springfield office. We will have a video connection if anyone would like to attend in our Chicago office located on the 14<sup>th</sup> Floor of the Thompson Center.

The regular monthly Board meeting will begin at 10:30 a.m.

In addition to the agenda items listed feel free to bring anything else to the table, and hopefully we will have time to discuss any and all items of concern to you during the course of the meeting.

We look forward to seeing you on the 16th.

Attachment

2329 S. MacArthur Blvd. Springfield, Illinois 62704-4503 217/782-4141

Fax: 217/782-5959

James R. Thompson Center 100 W. Randolph St., Ste. 14-100 Chicago, Illinois 60601-3232 312/814-6440

Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

# ADVISORY COMMITTEE AGENDA

Monday, May 16, 2016

2329 S. MacArthur Blvd. Springfield, Illinois 9:00 a.m.

- 1. Election Day
  - a. Election Judges
    - 1) Possible changes to SBE training program
    - 2) Phone number for judges to call/text election authority office
  - b. Electronic Pollbooks problems
  - c. Ballot shortage cause/solutions
  - d. Grace Period Election Day Registration
  - e. Counting of write-in votes
  - f. Problems on election day or extension of polling hours notify SBE.
- 2. Constitutional Amendment.
- 3. Legislation
  - a. SB 250.
- 4. Voter Registration:
  - a. ERIC
  - b. Online and agency informational.
- 5. Other business.
- 6. Next meeting Monday, September 19, 2016.

#### STATE BOARD OF ELECTIONS STATE OF ILLINOIS

2329 S. MacArthur Blvd. Springfield, Illinois 62704-4503 217/782-4141

Fax: 217/782-5959

James R. Thompson Center 100 W. Randolph St., Ste. 14-100 Chicago, Illinois 60601-3232 312/814-6440

Fax: 312/814-6485



**EXECUTIVE DIRECTOR** Steven S. Sandvoss

**BOARD MEMBERS** Charles W. Scholz, Chairman Ernest L. Gowen, Vice Chairman William J. Cadigan Andrew K. Carruthers Betty J. Coffrin John R. Keith William M. McGuffage Casandra B. Watson

#### **MEMORANDUM**

TO: Chairman Scholz, Vice Chairman Gowen, Members of the Board

Executive Director Steven S. Sandvoss

From: Kenneth R. Menzel, General Counsel

Re: Review of 2016 General Primary in DuPage County

Date: May 4, 2016

I visited the DuPage County Board of Election Commissioners' office on the morning of Thursday, April 21, 2016, to attend a court ordered recount relating to the office of County Recorder on the Democratic Party primary ballot. The only declared candidate for the office was write-in candidate Mr. Moon Khan, and the initial official canvass credited him with 699 votes. That vote total fell short of the 844 votes he needed to be nominated. Mr. Khan had alleged that the voting system failed to properly credit him with votes that voters had attempted to cast for him (the system reports indicated that 4,104 voters had either marked the write-in oval on an optical scan ballot or selected the write-in option on a touchscreen voting device).

A couple of weeks prior to this recount, there were recounts of 5 Republican Precinct Committeeman offices in DuPage County (each with just a single write-in candidate), where 2 of the 5 candidates gained sufficient additional write-in votes to be declared elected. The prior recounts did not find problems with the function of the county's voting system, but rather indicated that the election judges failed to properly tally all of the write-in votes cast on optical scan ballots in the respective precincts on Election Day (those write-ins are hand-processed by the election judges in the precinct, and the vote totals for declared write-in candidates are entered into the system manually). A similar problem occurred in the LaSalle

<sup>&</sup>lt;sup>1</sup> Prior the site visit, I conferred with Voting & Registration Systems Division staff (who had been reviewing the canvass and other reports relating to the election) and spoke with DuPage County staff.

<sup>&</sup>lt;sup>2</sup> To be elected or nominated as a write-in candidate in a primary election, a candidate must receive a number of votes that equals or exceeds the number of signatures the candidate would have had to have on a petition to get his or her name printed on the ballot.

<sup>&</sup>lt;sup>3</sup> Write-in candidates for Precinct Committeemen require 10 write-in votes to be elected. One committeeman candidate picked up 27 votes (on top of the 4 initially credited to her), the other picked up 10 votes (on top of the 2 initially credited to him), so as to get past the necessary vote threshold. One of the other candidates picked up an additional vote, but did not pass the needed 10 vote threshold to be declared elected. The remaining two candidates did not pick up any votes in the recount. www.elections.il.gov

County Republican Primary, leading to a recount of one county board district and 3 precinct committeeman contests there.<sup>4</sup>

In all of the above court ordered recounts, a reasonable suspicion that not all of the write-in votes were properly tallied was raised, supported at least in part by precinct totals that fell short of the number of people who asserted that they cast write-in votes for the complaining candidates in those precincts. By way of example, in one instance the candidate's family (candidate, spouse and two offspring registered to vote in the same precinct) alleged that they had all cast write-in votes for the candidate, but the precinct totals reflected fewer than 4 write-in votes for the candidate.

In the DuPage County Democratic Primary treasurer recount, 342 precincts were recounted (out of the county's 827 precincts, about 41%), and Mr. Khan picked up an additional 172 additional votes so as to pass the needed vote threshold for the nomination. Under the agreed court order providing for the recount, if Mr. Khan picked up 145 or more votes (so as to pass the needed 844 vote threshold) then the recount would end at that point. If one extrapolates Mr. Khan's partial recount results to the county as a whole, one would project that a full recount of the entire county would have found approximately 419 votes that the elections judges failed to process in the precincts (so as to give him a vote total of approximately 1,118 rather than the 699 he was originally credited with).

The recount did not find any support for Mr. Khan's initial allegations of problems with the county's tabulation equipment. The additional votes found for Mr. Khan were all optical scan votes which were simply not properly tallied by the election judges in the precincts (a purely human error, unrelated to the functionality of the tabulation system). Throughout the county, 3,058 of the 4,104 attempts to cast write-in votes for the office were made on optical scan ballots, 2,925 of those being cast in the precincts. Of the 1,046 attempts to cast write-in votes made on touchscreen devices, all are accounted for (608 of those were cast in the precincts, 438 during early voting). Variations on Mr. Khan's name (e.g. "Khan", "Moon", "Moon Kahn", and "Moon Kham") were 603 of the touchscreen write-ins. The other 443 touch screen write-ins were for people who had not filed write-in declarations (ranging from candidates running for other offices (such as "Bernie Sanders" and "Raja Krishnamurthi") to non-candidates (such as "Bill Nye" and "John Cena") to fictitious characters (such as "Batman" and "Mickey Mouse").

Write-in processing errors of the type seen in DuPage County and LaSalle County have occurred sporadically throughout the state going back decades (extending at least into the punch card system days). The problems with write-in tallying might have been higher than usual in the 2016 General Primary, but we don't have comprehensive records of the problem so as to be able to state that conclusively.<sup>6</sup> If the problem was indeed more prevalent than normal, it could stem from any combination of: (a) the disabling of the deflector in the ballot boxes, which sorted the ballots with and without write-ins and stored those with write-ins in a separate bin, <sup>7</sup>(b) chance, (c) the record primary

<sup>&</sup>lt;sup>4</sup> In LaSalle County, the county board candidate picked up 13 additional write-in votes, and the three committeeman candidates picked up 0, 2 and 10 votes, respectively (the votes picked up by the county board candidate put him over the threshold needed for nomination and those picked up by the two committeeman candidates put them over the threshold needed for election).

<sup>&</sup>lt;sup>5</sup> The other 133 optical scan write-in vote attempts were centrally processed, and consisted of 114 vote by mail ballots and 19 provisional ballots.

<sup>&</sup>lt;sup>6</sup> Because write-in contests are rarely recounted, and are not always well publicized when they do occur, there is no good data on precisely how pervasive the problem has been in the past.

<sup>&</sup>lt;sup>7</sup> When Census data triggered a requirement, under Section 203 of the Voting Rights Act, that DuPage County provide bilingual English/Spanish ballots, the county had to begin using larger 17" ballots to accommodate text in both languages. Those larger ballots led to excessive jamming at the point of the deflectors in the ballot boxes. The deflectors were removed, www.elections.il.gov

turnout leading to greater than normal fatigue on the part of the election judges at the end of the day when they are supposed to tally the optical scan write-in votes, and (d) higher than typical levels of election judge "no shows" and experienced election judges quitting.<sup>8</sup> Although it did contribute to Election Day problems in many other jurisdictions, the new in precinct Election Day registration provisions do not appear to have been a significant factor in DuPage County. DuPage County implemented the new process by adding an additional poll worker at each location to serve as the voter registrar, letting the election judges focus solely on administering the voting process.<sup>9</sup>

Moving forward, election authorities might have to change some of the emphasis in their election judge training to give more coverage to write-in processing. Other jurisdictions may also want to look into the feasibility of having separate personnel handle the Election Day registration function and allow the election judges to focus solely on conducting the voting process. In addition, in jurisdictions that use the direct recording electronic devices for their touch screen voting, election authorities could review vote totals reports broken down by category to see if the in precinct optical scan ballot write-in vote numbers are excessively different than the numbers from the touch screen vote numbers. In the DuPage Treasurer situation, for example, the in precinct touch screen devices credited Mr. Khan with votes on approximately 55% of the ballots where write-ins were attempted, but just under 9% of the in precinct optical scan ballots where a write-in was attempted. It should be noted, however, that if the estimate that Mr. Khan would have picked up an additional 419 votes is correct (had the in precinct optical scan ballot recount been completed for the entire county), he would have had valid write-in votes on only 23% of the in precinct optical scan ballots where a write-in was attempted, so a fairly large difference is still legitimately possible. Where large disparities are seen, an election authority can contact the election judges who worked the affected precincts and inquire as to the write-in processing. Where the election authority believes election judges failed to process write-ins in particular precincts, those precincts can be reexamined prior to the canvass, and errors corrected. 10 It should be noted that there are no generally accepted objective standards for determining when write-in disparities indicate a processing problem. 11

In the long term, consideration should be given to legislative changes that would reduce the Election Day burdens on the election judges. A couple of possibilities would be getting the precinct election judges out of tallying write-in votes (moving that task to the central counting election judges who process vote by mail and provisional ballots) and/or making legislative provision for additional, separate poll workers to handle the Election Day registration process in the precincts so that the election judges can focus solely on administering the voting process. Reducing the burdens on the election judges might reduce the instances of errors (of all types) on their part and aid in reducing the turnover of experienced election judges.

Respectfully Submitted,

thus forcing the judges of election to sort through all of the ballots cast in the precinct and manually separate those containing write-ins.

<sup>&</sup>lt;sup>8</sup> In talking to election authorities in many jurisdictions, including DuPage County, there were an unusual number of election judges who simply did not show up to work on Election Day and there was a larger than normal turnover among the more experienced election judges, leaving many polling places short staffed, and overseen by less experienced people.

<sup>&</sup>lt;sup>9</sup> It may have been a factor in LaSalle County, where the election judges handled in precinct registration duties.

<sup>&</sup>lt;sup>10</sup> Where a potential problem of this type is indicated, the election authority should be able to use the authority under the "obvious discrepancy" provisions of 10 ILCS 5/24B-15 and 24C-15 to correct the problem in a timely fashion.

<sup>&</sup>lt;sup>11</sup> In this instance, the DuPage staff viewed the 9% in precinct write-in totals to be in line with the historical performance of credible write-in candidates in the county (typically in the 10% range), and saw the touch screen figures as being anomalous. www.elections.il.gov

From the desks of... Kyle Thomas

Director of Voting and Registration Systems

Phone: 217-782-1590

Email: kthomas@elections.il.gov

Kevin Turner

**Director of Information Technology** 

Phone: 217-782-1579

Email: kturner@elections.il.gov

**To:** Steven S. Sandvoss, Executive Director

Re: Senate Bill 172 Update

**Date:** May 4, 2016

The deadline for SBE to submit payment of membership dues to the Electronic Registration Information Center (ERIC) was May 1<sup>st</sup>. Knowing Illinois has yet to see a budget, John Lindback, Executive Director of ERIC, called a special meeting of the ERIC Board on April 29<sup>th</sup> to discuss extending Illinois' deadline. The ERIC Board voted to grant SBE an extension for payment to June 30<sup>th</sup>.

In April, the SBE successfully uploaded voter files and Secretary of State (SOS) data files to ERIC. ERIC IT certified SBE's file submission as consistent with their required format and suitable for incorporation into their databases for crossmatch with other member states' files. We were pleased to hear from ERIC's IT manager that the SBE data upload was both the fastest and most accurate of any state thus far. (Note: Until such time SBE is able to pay the membership dues, ERIC will not be providing SBE with detailed reports; however they will be providing general counts across various categories to aid in project planning.)

SB172 mandates a periodic crossmatch of SBE voter data with National Change of Address (NCOA) files. The latest required date for this function was April 15<sup>th</sup>. We provided our data to Anchor Computer, Inc. on April 14<sup>th</sup>; we downloaded results of the crossmatch on April 15<sup>th</sup>. It was necessary for SBE to not only make several database table modifications, but also to develop special programming so that we could provide accurate NCOA data to the election authorities.

On April 25<sup>th</sup>, we met with representatives from SOS's IT and Driver's Services divisions to discuss the agency registration section of SB172. They expressed a number of concerns, especially the impact the legislation would have on the time necessary to process a customer at a driver's license facility. They also stated their ability to meet the July 1<sup>st</sup> deadline is in doubt. They will discuss these issues with their legal department and contact us as soon as possible.



# STATE BOARD OF ELECTIONS STATE OF ILLINOIS

2329 S. MacArthur Blvd. Springfield, Illinois 62704-4503 217/782-4141

Fax: 217/782-5959

James R. Thompson Center 100 W. Randolph St., Ste. 14-100 Chicago, Illinois 60601-3232 312/814-6440

Fax: 312/814-6485



BOARD MEMBERS
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Casandra B. Watson

#### **MEMORANDUM**

**To:** Chairman Scholz, Vice Chairman Gowen

Members of the Board

Steve Sandvoss, Executive Director

From: Kenneth R. Menzel, General Counsel

**Re:** Required Review of the Minutes of Executive Sessions

**Date:** April 29, 2016

Pursuant to 5 ILCS 120/2.06 (d) of the Open Meetings Act, every public body is required to review the minutes of their closed meetings to determine whether or not there is a need for continuing confidentiality of such minutes. In accordance with this requirement, the Executive Director and I reviewed the minutes of the Executive Sessions of the State Board of Elections for the period of November 16, 2015 thru March 14, 2016, and have concluded that the necessity of confidentiality does not exist as to such minutes.

Please note that the release of the minutes of the Executive Sessions for public inspection does not automatically release the verbatim recording of such Sessions. A specific authorization of the Board would be required to release such recordings.

Respectfully submitted,

Kenneth R. Menzel General Counsel

	Start Date	End Date	Division	Activity
	4 /15/2016		ELEC OP	Complete CANVASS. Completion date depends on how soon abstracts clerks. Generate material for the "List of Candidates Elected and Nomi Vote" publication. 10 ILCS 5/1A-8(1, 2, 6, 11); 18A-15(a)
	4 /15/2016		ELEC OP	Begin preparing the Certificates of Nomination and Election. 10 ILCS 5/1A-8 (1, 2) 5/7-58
	4 /15/2016		EXEC DIR	BOARD MEETING. (Proclamation) 10 ILCS 5/1A7
	4 /15/2016		LEG	Post election survey due from the LEO's to the SBE.
	4 /22/2016		CAMP DISC	Distribute campaign financing materials and notices to all newly elected central committee chairmen, and ward and township committeemen. 10 ILCS 5/1A-8 (1,2,4,11) 10 ILCS 5/9-15.
	4 /22/2016		LEG	(date subject to change) 3rd Reading Deadline Final day on which SENATE bills may be called for a final vote on 3rd rea Rules) Final day on which HOUSE bills may be called for a final vote on 3rd rea Rules)
	30 4 /23/2016		ELEC OP	Last day for the chairmen of all county central committees to file with the names and addresses of their OFFICERS and PRECINCT COMMITTEE
	4 /25/2016		ELEC OP	Begin preparation of the 2017 Election and Campaign Finance Calendar
	4 /25/2016		ELEC OP	Begin compiling weighted vote figures for legislative and representative
	4 /28/2016		VRS	Complete analysis of Referenda Profile. 10 ILCS 5/1A-8 (1, 2, 12)
	4 /30/2016		ELEC OP	Estimated completion of the 2015 ELECTION CODES covering laws pass session. 10 ILCS 5/1A-8 (1-13)
	5 /1 /2016		ELEC OP	Statewide Advisory Question Filing Procedures are currently under legisupdates to Article 28.
	5 /2 /2016		CAMP DISC	Date upon which the State Board of Election shall notify political comm the 2016 MARCH QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS deadline that an assessment will be levied against the committee for fa timely fashion. Rules and Regulations 125.425.

	Start Date	End Date	Division	Activity
	5 /4 /2016		VRS	Notify election authorties of requirement to submit computerized vote the May 15, 2016 submission in a format prescribed by the SBE.
	5 /6 /2016		LEG	(date subject to change) Final day for which SENATE committee may take action on HOUSE bills. Final day for which HOUSE committee may take action on SENATE bills. (House Rule)
	5 /9 /2016		ELEC OP	Last day to file petitions with the Secretary of State to amend Article IV Const. Art XIV, Sec. 3; 10 ILCS 5/28-9
	5 /10/2016		ELEC OP	Last day for the Secretary of State to deliver a petition to amend Article the SBE 10 ILCS 5/28-9
	5 /15/2016		VRS	First day for election authority to submit computerized voter registration submission. 10 ILCS 5/4-8,5-7,6-36
	5 /16/2016		CAMP DISC	Begin preparation of packet materials for 2016 JUNE QUARTERLY REPO CONTRIBUTIONS AND EXPENDITURES. Packets to be mailed or e-maile 15.
	$\frac{3}{4}$ 5 /16/2016		EXEC DIR	BOARD MEETING. 10 ILCS 5/1A7
	5 /20/2016		LEG	(date subject to change) Final day on which all HOUSE bills may be called for a final SENATE vote on 3rd reading. (Senate Rule) Final day on which all SENATE bills may be called for a final HOUSE vote on 3rd reading. (House Rule)
	5 /25/2016		VRS	Last day for election authorities to submit request for extension to file registration information for the May 15, 2016 submission. Rules and R
	5 /25/2016		VRS	Last day for election authority to submit computerized voter registratic submission. 10 ILCS 5/4-8,5-7,6-36
	5 /31/2016		ELEC OP	Last day established party managing committee may file resolution to f no candidate was nominated at the General Primary Election. 10 ILCS 5
	5 /31/2016		LEG	General Assembly adjournment. (House/Senate Rules)

Start Date	End Date	Division	Activity
6 /1 /2016		ELEC OP	Complete compiling the PARTY OFFICIALS INFORMATION received from (project started 3/15/16) Information to be provided on disc and poste 5/1A-8 (1,2,6,11)
6 /1 /2016		ELEC OP	Begin review and update of DEPUTY REGISTRAR GUIDELINES publicatio
6 /1 /2016		ELEC OP	Begin review and update of SBE GUIDE FOR POLLWATCHERS 10 ILCS 5/
6 /1 /2016		ELEC OP	Begin review and update MILITARY/OVERSEAS publication 10 ILCS 5/1
6 /1 /2016		ELEC OP	Begin review and update of all agency BROCHURES 10 ILCS 5/1A-8 (1,2
6 /7 /2016		ELEC OP	Last day to file objections to resolutions to fill vacancies in nomination nominated at the General Primary Election. 10 ILCS 5/10-8
6 /9 /2016		ELEC OP	Last day to transmit objections and call for electoral board hearings. 1
6 /9 /2016		VRS	Send Letter to the Election Authorities requesting Polling Place Accessil information. (Rules and Regulations)
3 6 /10/2016		LEG	Begin work on post session bill recommendations to the Board and the $10\ \text{ILCS}\ 5/1\text{A-8}\ (8)$
6 /15/2016		CAMP DISC	The last day that the State Board of Elections shall notify political comm Statement of Organization of their obligation to file the 2016 JUNE QUA CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. 10 ILCS 5/9-10, 9-15
6 /20/2016	6 /27/2016	ELEC OP	Filing period for new party candidates and independent candidates. 10 ILCS 5/7-2, 10-2
6 /21/2016		EXEC DIR	BOARD MEETING. 10 ILCS 5/1A7
6 /22/2016	6 /29/2016	CAMP DISC	Send notice of obligation to new party candidates who file petitions by within two business days of the date and hour filed. 10 ILCS 5/9-16.
6 /24/2016		VRS	Send notice to election authorities who failed to submit a computerized May 15, 2016 submission. Rules and Regulations
6 /24/2016		VRS	Send to each election authority a current computerized voter registrati 15, 2016 submission

Follow up.
 Comments from the general public.
 Next Board Meeting scheduled for Monday, June 13, 2016 at 10:30 a.m. in Chicago.
 Executive Session.